

# Remote sensing data sources and applications

Mike Wulder

Joanne White, Meg Andrew, Gordon  
Frazer, Geordie Hobart, Brice Mora  
Canadian Forest Service

Key collaborators

UBC: Prof. Nicholas Coops, Thomas Hilker, Chris Bater,  
Martin van Leeuwen

UVic: Prof. Trisalyn Nelson, Ben Stewart, Nick Gralewicz



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Service canadien  
des forêts

# Conclusions / Opportunity

- Multi-source and multi-scale systems to capture change and quantify changes in carbon stocks over time
  - Optically-driven approaches for "activity" data are operational, facilitated by archival data and increasingly common open data policies
- Need an NFI
- Optical data for cover, extent, change (characterize, stratify)
  - temporally dynamic forest extent
- RS change to characterize change locations (and rates)
- RS change to target (as required) in situ measurements (TSP, REDD support, augment NFI)
- Number of plots required to provide certainty?
- Opportunity for airborne lidar to 1. emulate plots; 2. spatially extend ground measures

\* Based (loosely) on discussions with M. Hansen and E. Næsset.

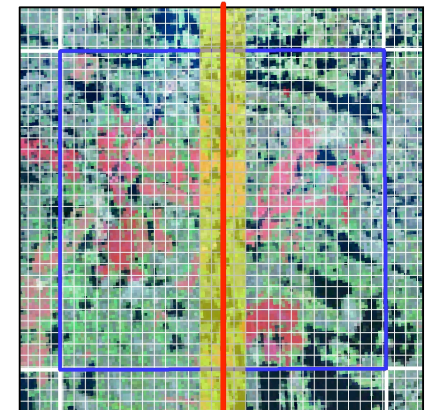
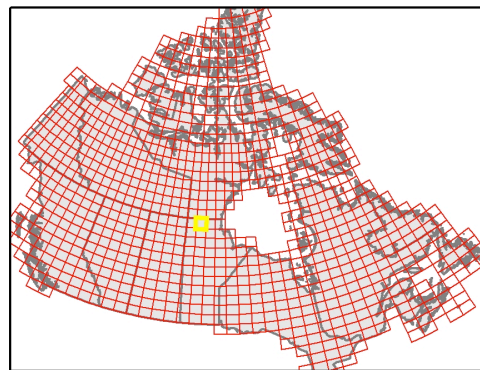
# Data sources

- Plots
- Inventories
- Remote sensing
- Models

# Framework notion:

Integrating multi-scale remote sensing and modeling

- Establish high spatial resolution, fine scale grid
- Populate grid through remote sensing (e.g., Landsat) and modeling
- Update the grid through satellite change detection
  - Find change, attribute change (as possible)
- Confirm / adjust modeled outcomes through sample based, higher spatial resolution remote sensing
- Repeat





# RS / REDD linkages

- Disturbance
  - Landsat
- Degradation
  - High spatial resolution imagery
- Conversion
  - Landsat + interpretation (land use)
- Recovery
  - Landsat

# Saturation

- Modeling: specifics of C characterization, such as dead organic matter (need for modeling)
- Remote sensing, saturation
  - Optical and Radar experience measurement saturation (empirical measures).
    - synoptic
  - Lidar does not saturate (more direct measures)
    - sample
  - Very High Spatial Resolution imagery (allometrics estimates possible)
    - sample

# High spatial resolution imagery and analysis

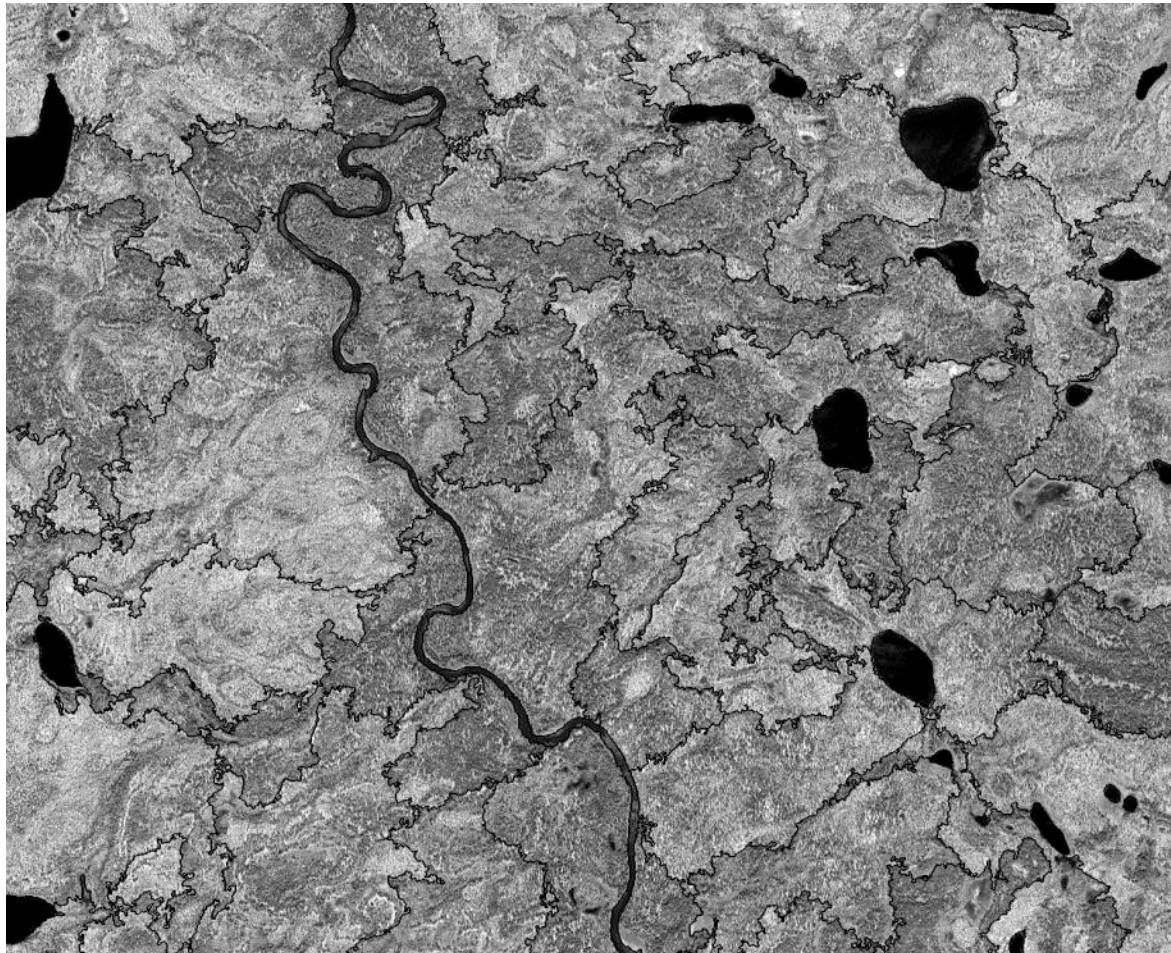
- Segmentation
  - Stands
  - Trees
  - Stand-level characterizations
- Automated attribution
  - Density
  - Height
  - Species



Falkowski, M.J.; Wulder, M.A.; White, J.C.; Gillis, M.D. 2009. Supporting large-area, sample-based forest inventories with very high spatial resolution satellite imagery. *Progress in Physical Geography* 33(3): 403–423.

# Automated Segmentation:

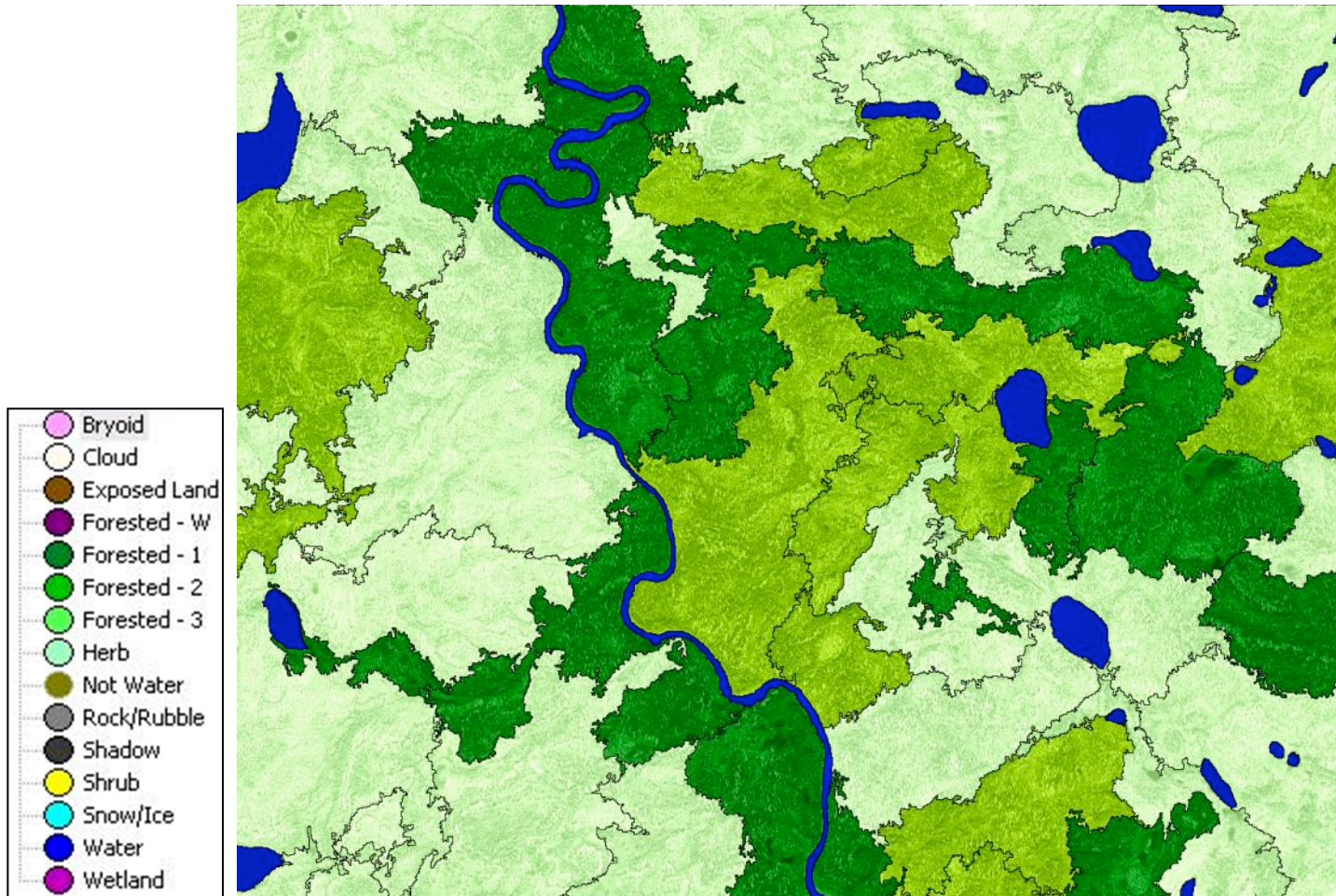
Goal: partition image into spatially distinct units that are homogenous and mutually distinct in terms of forest composition and structure (i.e., create stand boundaries)





# Automated Segmentation:

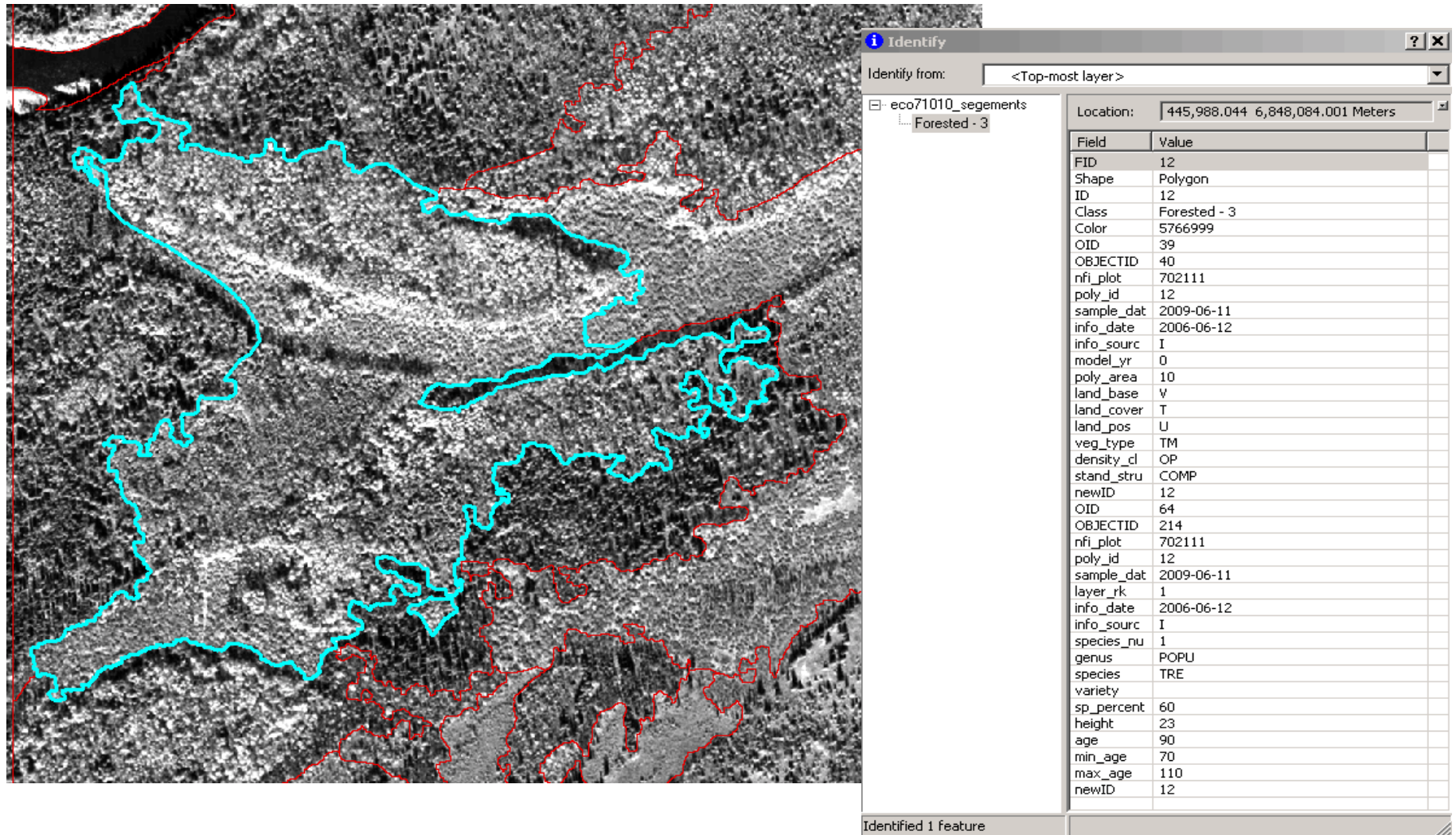
Goal: partition image into spatially distinct units that are homogenous and mutually distinct in terms of forest composition and structure (i.e., create stand boundaries)





# Attribution

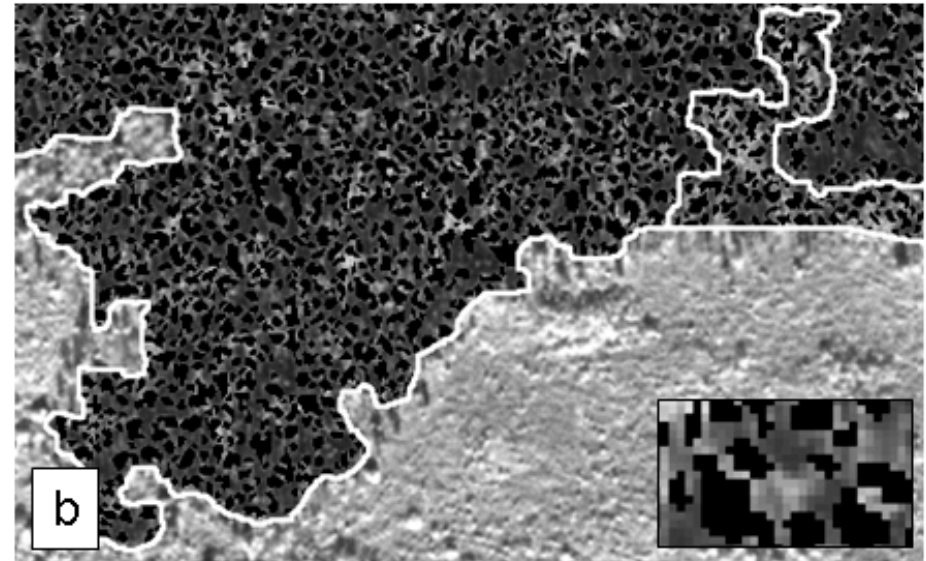
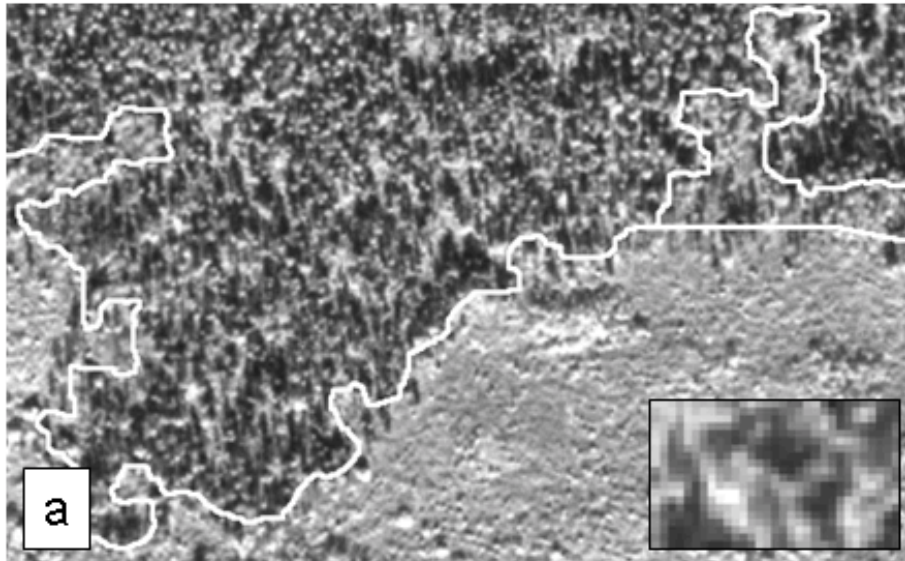
Following segmentation, FI attributes are generated for each segment



The image shows an aerial photograph of a forested area. The forest is segmented into different regions. A large, irregularly shaped area is outlined in cyan, while several smaller, more compact areas are outlined in red. An 'Identify' window is open on the right side of the image, displaying a list of attributes for a selected feature. The window title is 'Identify' and it shows the 'eco71010\_segements' layer with 'Forested - 3' selected. The location is 445,988.044 6,848,084.001 Meters. The attributes are listed in a table below.

Field	Value
FID	12
Shape	Polygon
ID	12
Class	Forested - 3
Color	5766999
OID	39
OBJECTID	40
nfi_plot	702111
poly_id	12
sample_dat	2009-06-11
info_date	2006-06-12
info_sourc	I
model_yr	0
poly_area	10
land_base	V
land_cover	T
land_pos	U
veg_type	TM
density_cl	OP
stand_stru	COMP
newID	12
OID	64
OBJECTID	214
nfi_plot	702111
poly_id	12
sample_dat	2009-06-11
layer_rk	1
info_date	2006-06-12
info_sourc	I
species_nu	1
genus	POPU
species	TRE
variety	
sp_percent	60
height	23
age	90
min_age	70
max_age	110
newID	12

Identified 1 feature



## Height estimation

- Input data
- Stand level metrics based on VHSR image, crown metrics
- Results:
  - significant  $R^2$  of 0.53\*\* and an RMSE of 2.84 m

## Leading species estimation

- Input data:
  - Statistics on tree crown shape metrics : *Area, Length, Roundness*
  - *Mean, Variance, 25th, 50th, 75th percentiles*
- Results:
  - Most selected metrics: *Area variance, Roundness variance, Area 50th percentile*
  - Overall accuracy of 74.5%

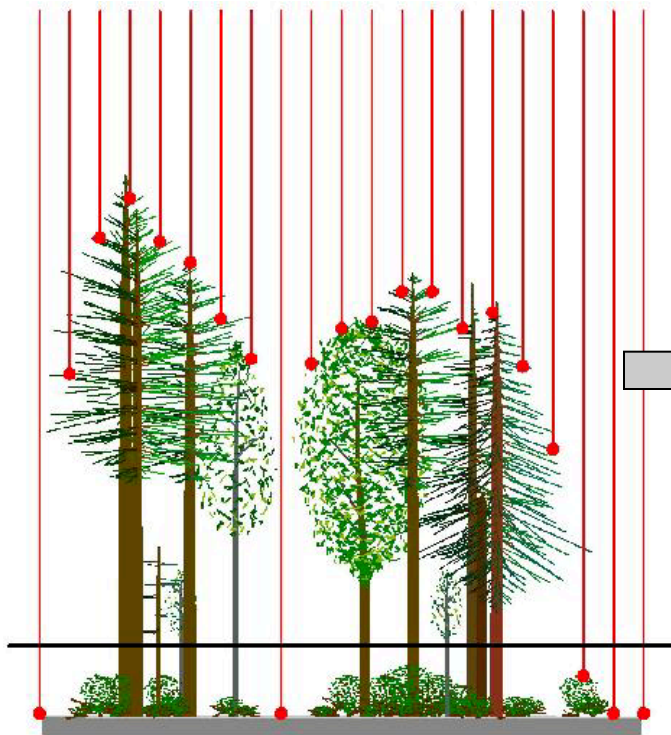
Mora, B., M.A. Wulder, and J.C. White (2010). Segment-constrained regression tree estimation of forest stand height from very high spatial resolution panchromatic imagery over a boreal environment. *Remote Sensing of Environment*. [DOI forthcoming]

Mora, B., M.A. Wulder, and J.C. White (2010). Identifying leading species using tree crown metrics derived from very high spatial resolution imagery in a boreal forest environment. [Accepted]

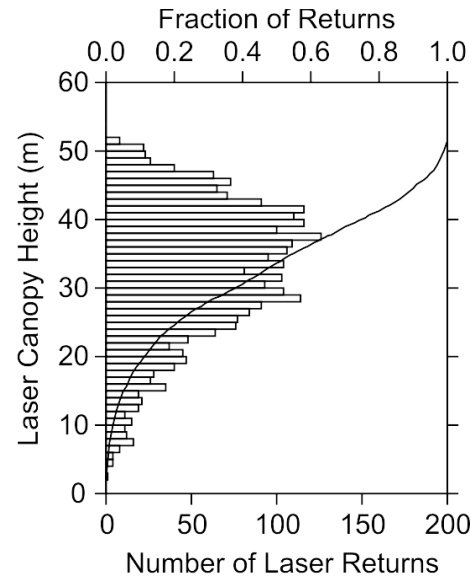


Individual tree measures from the lidar are summarized to produce *critical* plot-level attributes at thousands of plot locations.

### Tree-level measures



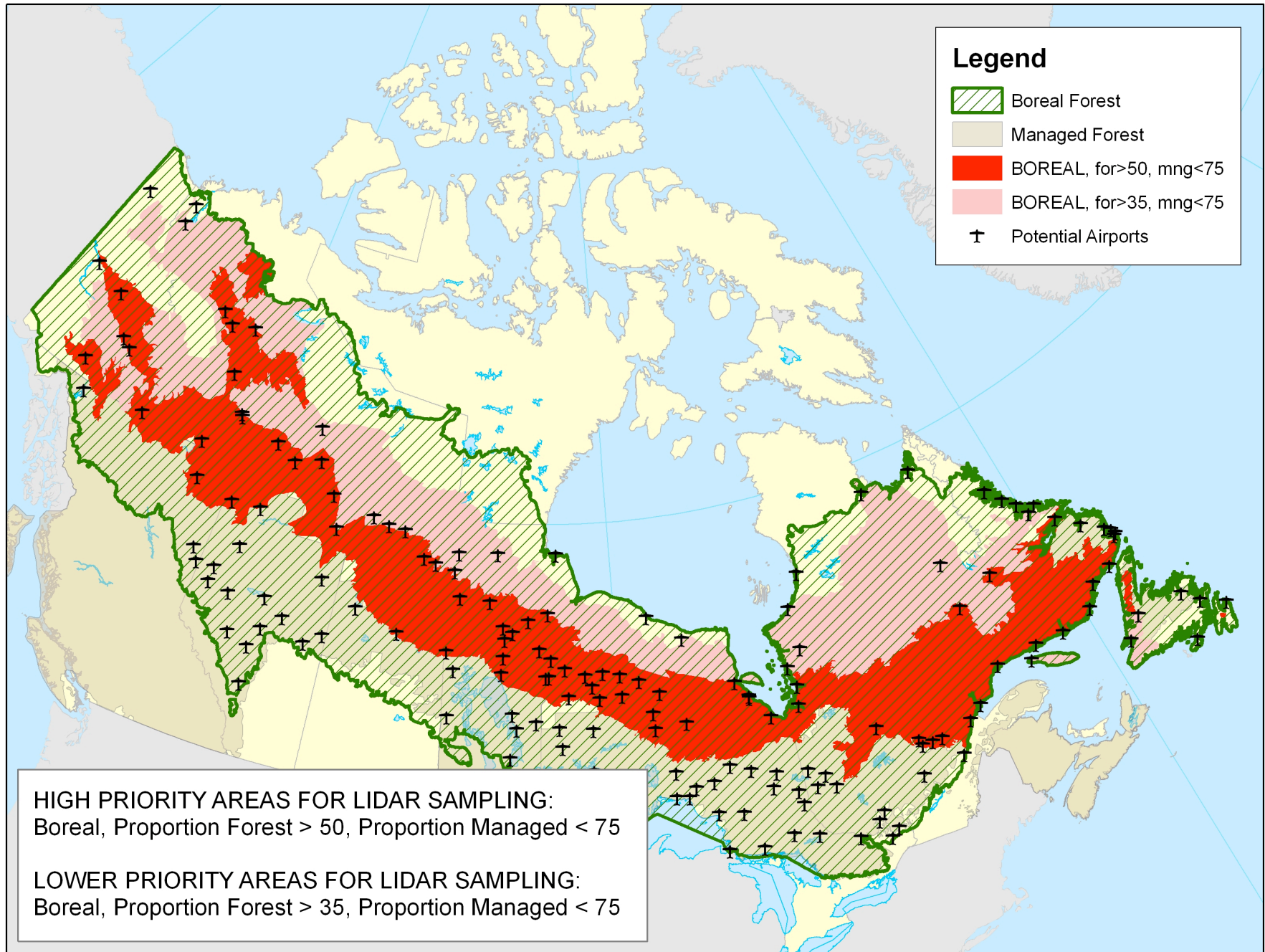
### Plot

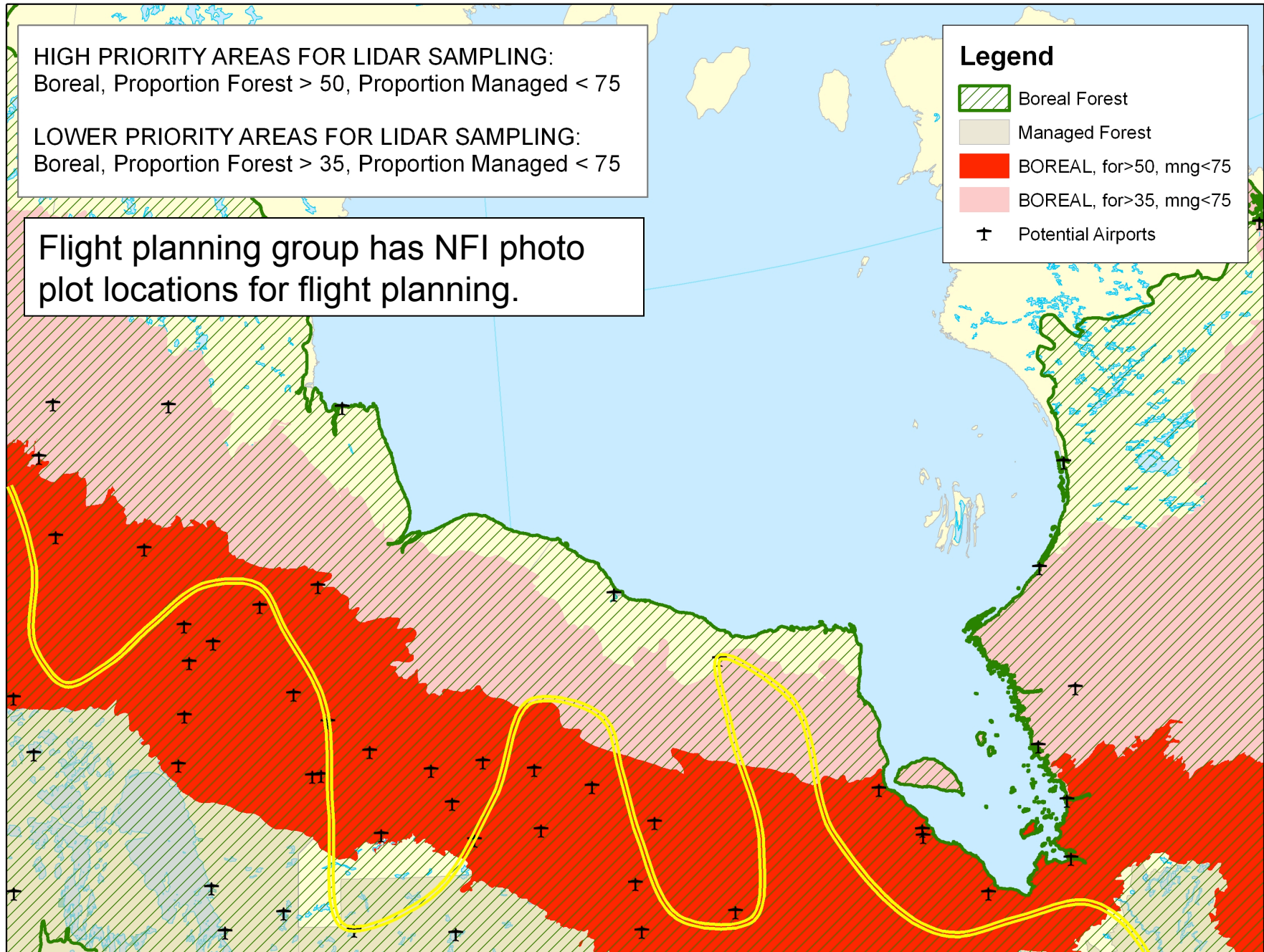


### Plot-level attributes

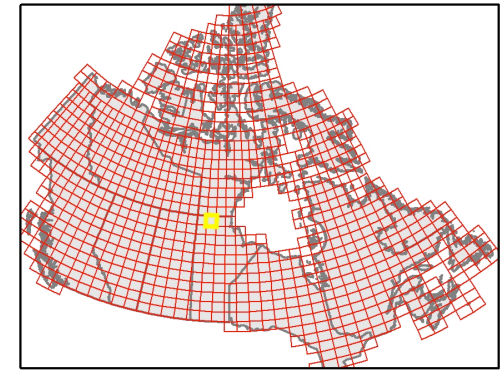
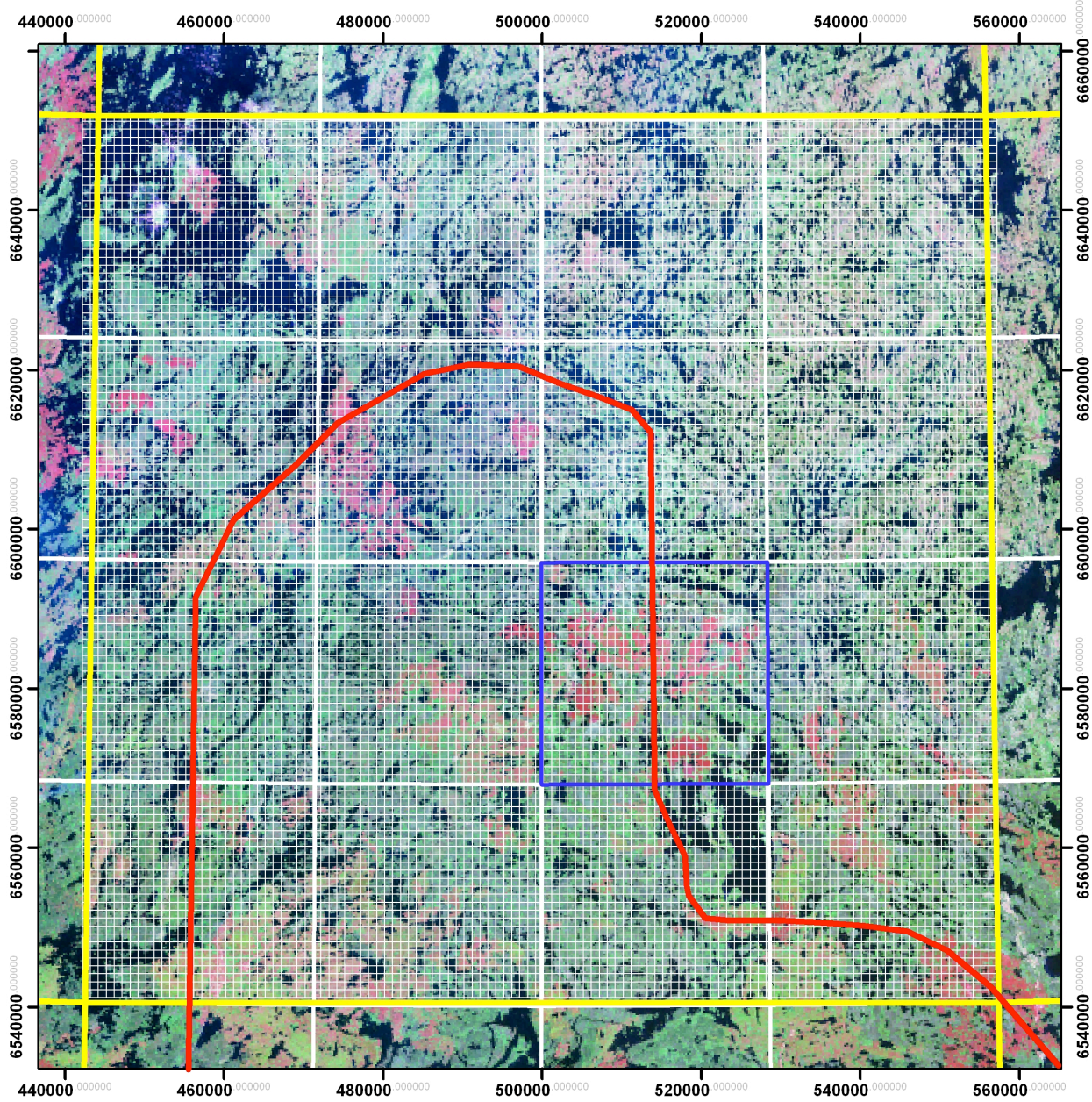
**stand structure**  
**height (max, mean...)**  
**crown closure**  
**volume**  
**biomass**  
**gap fraction**  
**stem density**







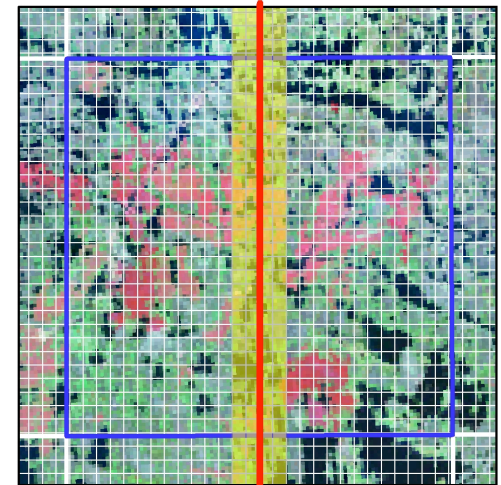




NTS 1:250K 0640

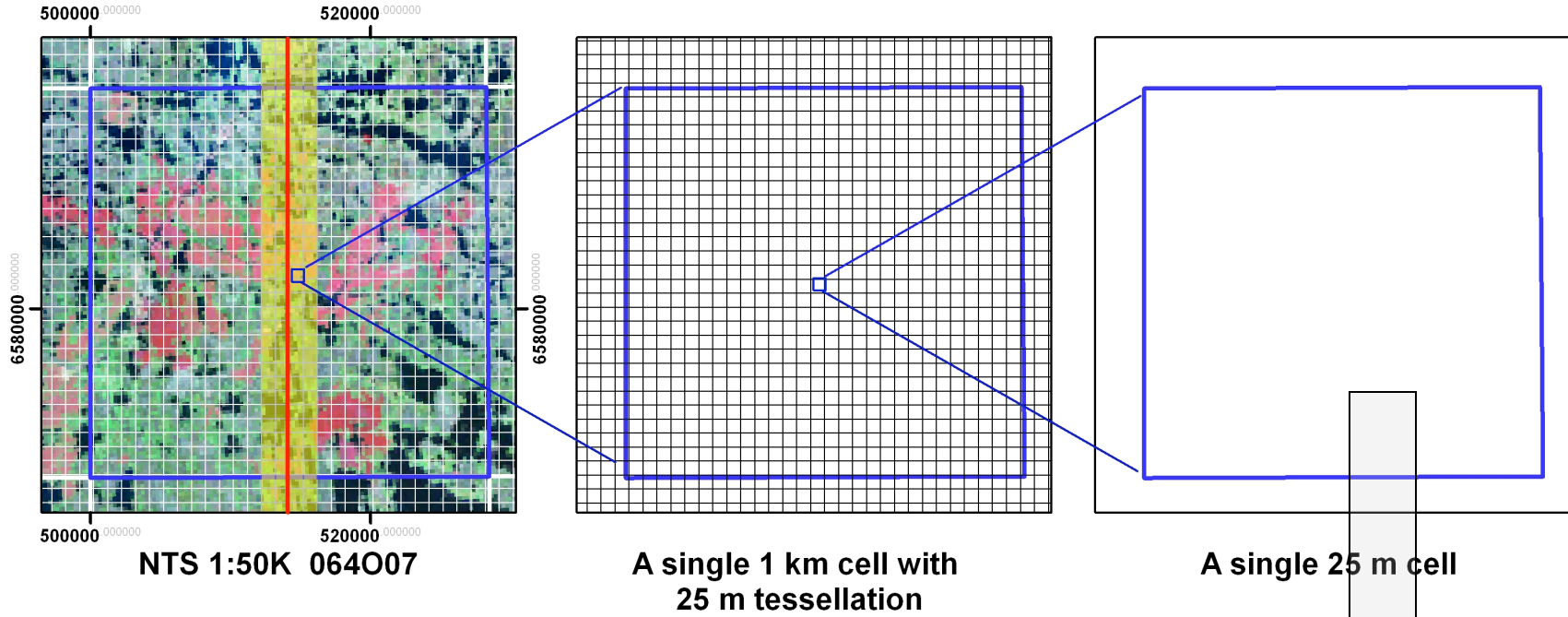
Highlighted 1km cells are populated with data from the lidar (depending on swath width).

NTS 1:50K 064007

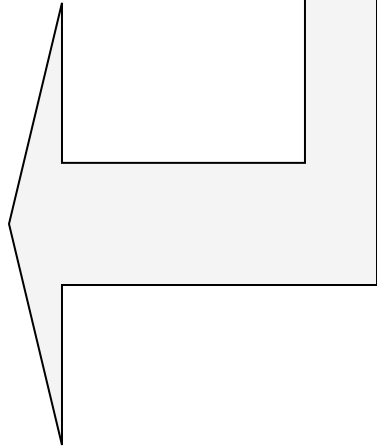


Lidar transect





- ATTRIBUTES for each 25 m cell**
- |                          |                        |
|--------------------------|------------------------|
| <b>Flight date</b>       | <b>Stand structure</b> |
| <b>Number of returns</b> | <b>Height</b>          |
| <b>Elev minimum</b>      | <b>Crown closure</b>   |
| <b>Elev maximum</b>      | <b>Volume</b>          |
| <b>Elev mean</b>         | <b>Biomass</b>         |
| <b>Elev mode</b>         | <b>Volume</b>          |
| <b>Elev stddev</b>       | <b>Gap fraction</b>    |
| <b>Elev variance</b>     | <b>Stem density</b>    |
| <b>...</b>               |                        |



# Thank you

Contact Information:

Mike Wulder

[mwulder@nrcan.gc.ca](mailto:mwulder@nrcan.gc.ca)

<http://cfs.nrcan.gc.ca/subsite/wulder/>

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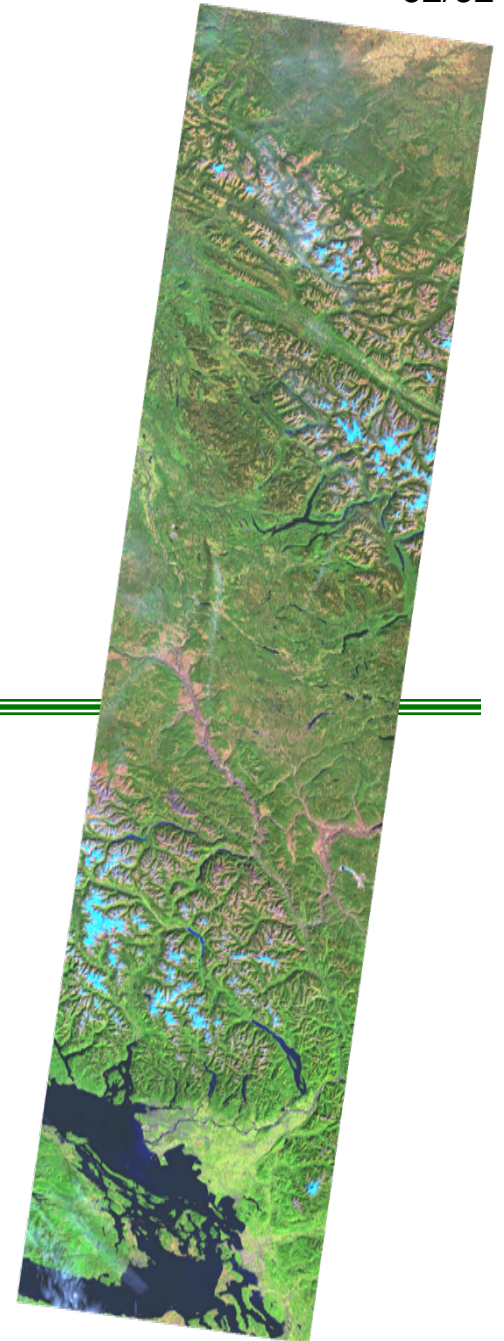


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des forêts



# Recent Applications of Echidna® Lidar in the U.S.

A. H. Strahler<sup>1</sup>, C. E. Woodcock<sup>1</sup>, C. B. Schaaf<sup>1</sup>,  
R. Myneni<sup>1</sup>, J. Liu<sup>1</sup>, G. J. Newnham<sup>3</sup>,  
D. L. B. Jupp<sup>4</sup>, D. S. Culvenor<sup>3</sup>, J. L. Lovell<sup>4</sup>,  
W. Ni-Meister<sup>2</sup>, S. Lee<sup>2</sup>, X. Li<sup>1</sup>, F. Zhao<sup>1</sup>, X. Yang<sup>1</sup>, T. Yao<sup>1</sup>, Q.  
Zhang<sup>1</sup>, M. Schull<sup>1</sup>, M. Roman III<sup>1</sup>,  
Z. Wang<sup>1</sup>, Y. Shuai<sup>1</sup>

<sup>1</sup>Boston University

<sup>2</sup>Hunter College of CUNY

<sup>3</sup>CSIRO Forest Biosciences

<sup>4</sup>CSIRO Marine and Atmospheric Research

Supported by NASA Grant NNG-0GG192G  
To Boston University

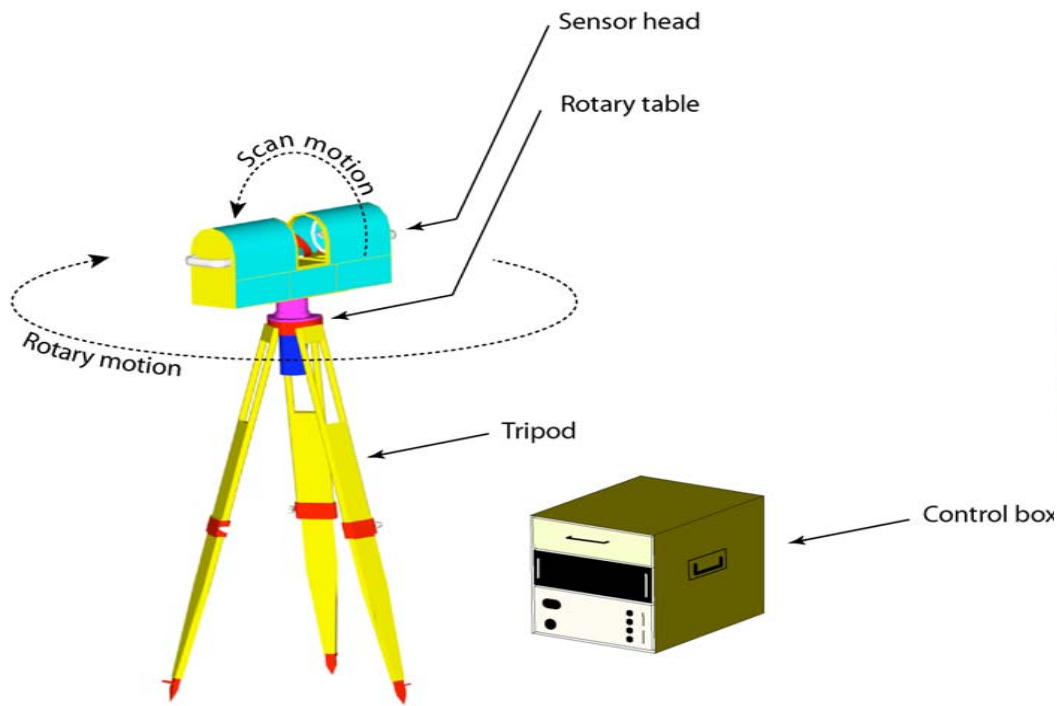


# Overview

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- Echidna® lidar description
- Retrieval of forest structural parameters using the Echidna® Ground-Based Lidar
  - Leaf Area Index and foliage profile
  - Canopy height
  - DBH
  - Stem count density
  - Above-ground biomass
- 3-D reconstruction of Sierra Nevada forest stands using merged point clouds from multiple Echidna® scans
  - Merging scans
  - Measuring trees in the point cloud
  - Comparison with field-measured trees
- A quick look at the new Dual Wavelength Echidna® Lidar

# Ground-Based Lidar (Echidna<sup>®</sup>)

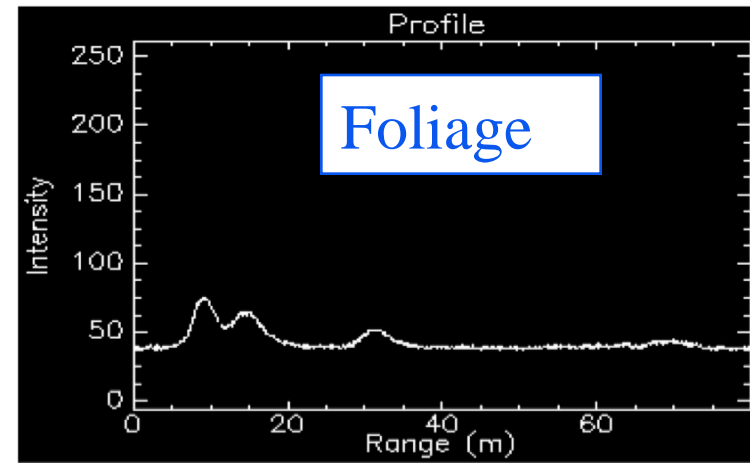
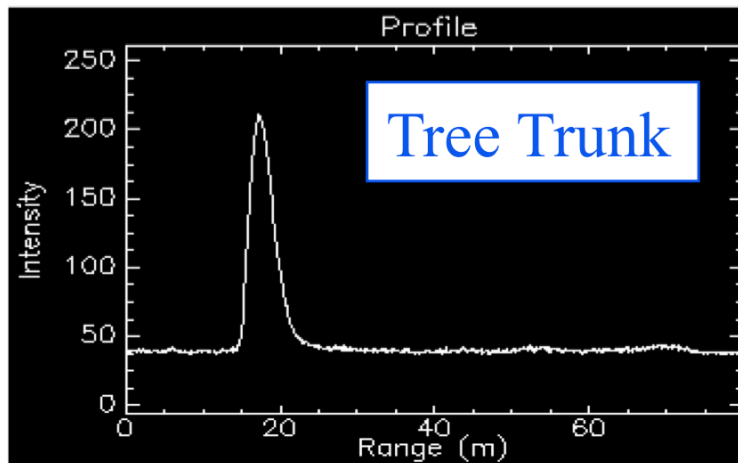


- Echidna<sup>®</sup> is ground-based lidar technology designed and patented by CSIRO specifically for forest and vegetation assessment
- The Echidna<sup>®</sup> and the current prototype — the Echidna<sup>®</sup> Validation Instrument (or “EVI”) has key differences from scanning rangefinders
  - Digitizes the full waveform
  - Has variable beam divergence
  - Uses full hemispherical scanning and beyond
  - Linear response and calibration

Wavelength — 1064 nm



## Hard & Soft Returns in EVI Data

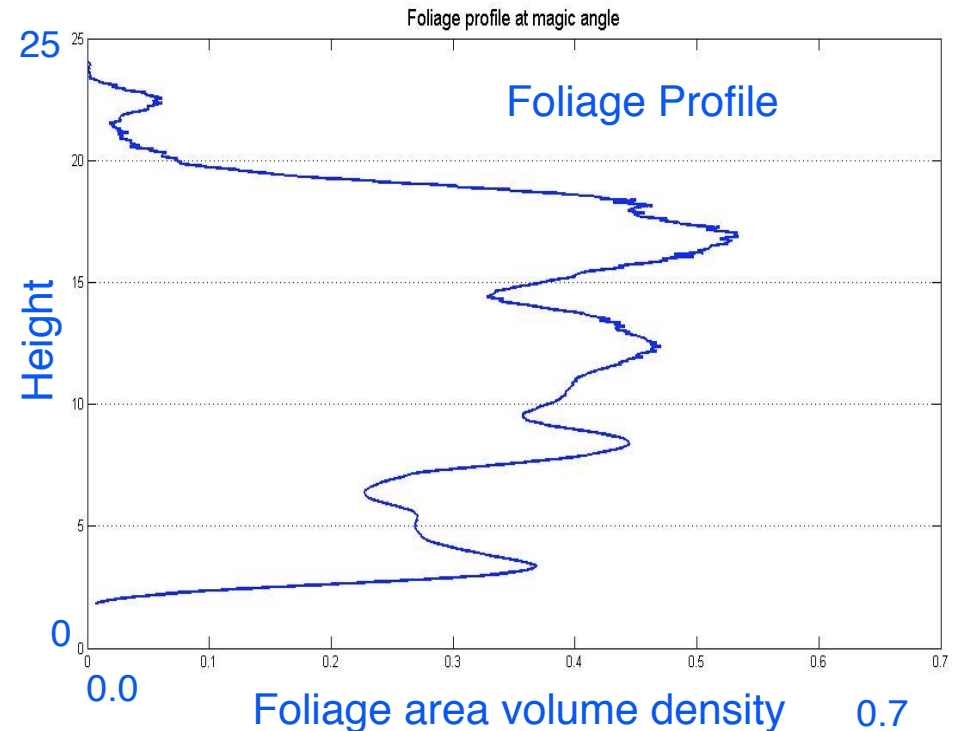
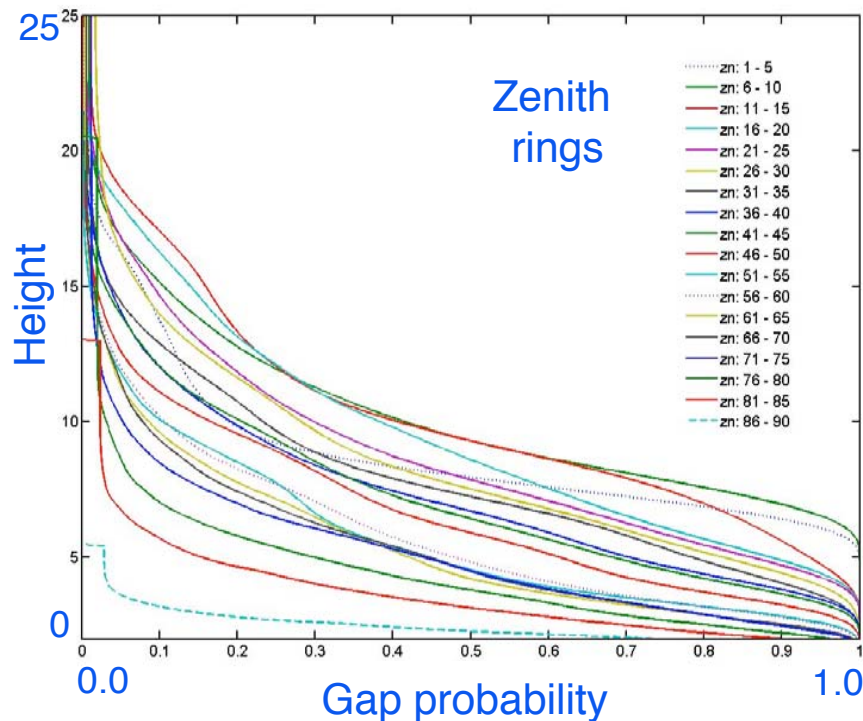


- Pulse characteristics
  - Length: 2.4 m (FWHM), strongly peaked
  - Beam divergence: 5 milliradians (standard operation)
  - Digitized every 7.5 cm on return to 140 m range

# Styles of Product and Processing

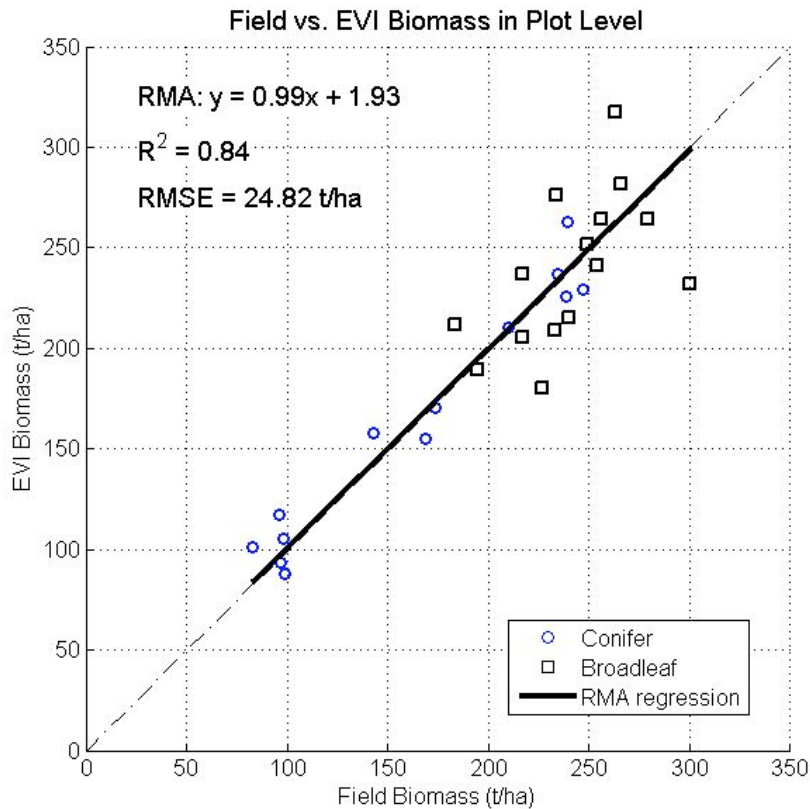
- The EVI lidar provides three types of related information about forest structure:
  - **Canopy**: Leaf area index, foliage profile, gap probability with height as a function of direction
  - **Trunk**: Trunk diameters, stem counts, and stand heights
  - **Bole**: Stem characteristics such as bole length, form factor (taper), curvature (sweep), and defects (CSIRO)
- These parameters allow calculation of
  - **Mean DBH** (diameter at breast height)
  - **Stem count density** (stems/ha, stems/m<sup>2</sup>)
  - **Basal area** (m<sup>2</sup>/ha)
  - **Above-ground biomass** (kg/ha) using allometric equations
  - **Foliage area volume density profile** (m<sup>2</sup> leaf area/m<sup>3</sup> canopy volume)
  - **Bole measurements** for dimension lumber (CSIRO)
- Each one can be made easier by projecting and reformatting the data in different ways

# Leaf Area Index (LAI) and Foliage Profile



- LAI and foliage profile are derived from gap probability as a function of height
- Data are averaged within zenith rings at 5° increments
- Profile is derived at “hinge angle”—zenith ring 55–60° where LAI is least sensitive to leaf angle

# Biomass Estimation



- With EVI-derived mean diameter and stem count density, we can estimate biomass using allometric equations
- Since EVI can't identify species, we used a pooled allometric equation for the leading one or two dominant tree species in the plot
- $R^2 = 0.840$  at the plot level
- $R^2 = 0.975$  at the site level

# Conclusions

- Gap Probability-Derived Parameters
  - P<sub>gap</sub> with Height
    - Retrieved for 5° zenith rings
    - Foliage area volume density profile is derivative of decrease of P<sub>gap</sub> with height
  - LAI and Foliage Profile
    - Competing methods: “Hinge angle” (57.5°) and regression
    - LAIs are somewhat different for the two methods
    - Regression method uses all zenith rings (except 0–5°) so should be more accurate
    - Foliage profiles meet expectations and knowledge of stands
  - Validation of LAI
    - Good agreement with LAI-2000, hemispherical photos, literature values
    - BU LAI-2000 and hemispherical photo retrievals not always reliable
  - Stand Height
    - Retrieved from foliage profile; matches LVIS heights very well

## Conclusions, Cont.

- “Find trunks” algorithm
  - Tree diameter (DBH)
    - Retrieves individual measurements well, but with variance
    - Error depends on size and distance from EVI to tree
    - Mean DBH retrieved very well using error-weighted mean
  - Stem count density (trees/m<sup>2</sup> or trees/ha)
    - Retrieved very well
    - Requires correction for occlusion of far stems by near stems
  - Basal area
    - Retrieved well, but with slightly more error as product of two variables (mean DBH and density) measured with error
  - Biomass
    - Uses allometric equations from leading dominant species
    - Retrieved very well using mean DBH weighted by allometric exponent