

Visible near-infrared (VNIR) and mid-infrared (MIR) Lab spectroscopy for soil texture classification: Analysis of machine learning and spectral bands selection techniques

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Highlights

- VNIR, MIR and VNIR+MIR lab spectroscopy and machine learning was used to classify soils as per USDA texture triangle.
- Models were compared for classification with reduced number of spectral bands as opposed to all the bands.
- Physical interpretation of the important bands selected was tabulated.
- Inaccuracies in classification of individual texture classes were compared in terms of neighbour and far classes accuracy.

Contents

- Importance of Soil as function and it's properties
- How have researchers classified soil texture
- Proposed Objectives and Methodology
- Physiochemical and Spectroscopy dataset
- Evaluation strategy for classification
- What did we find? Is it useful?
- What should be done next?

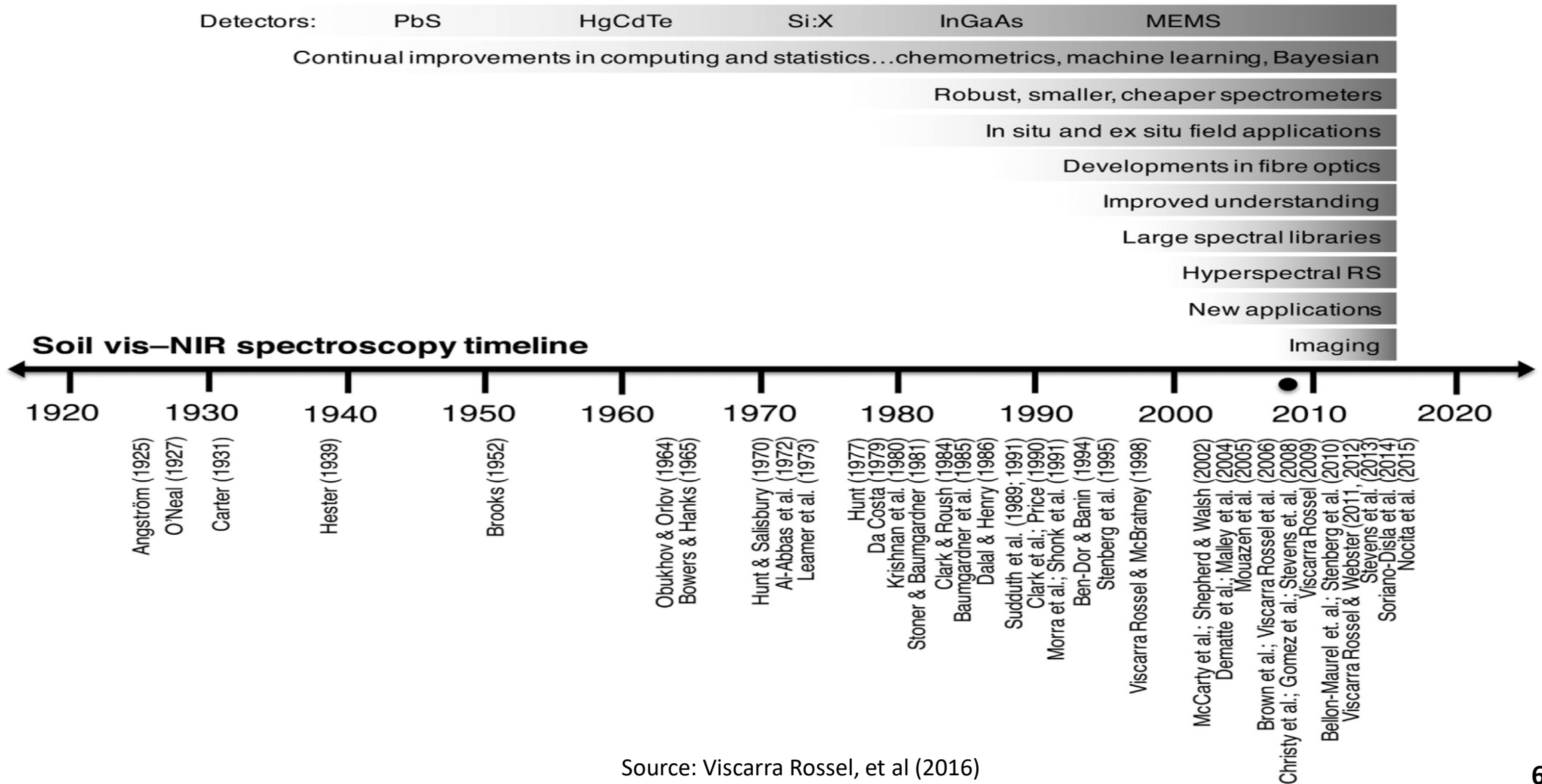
Introduction-Importance of Soil

- Soil is a vital component of Earth surface providing ecosystem services, filters water, supplies nutrients to plants, provides us with food, fibre and energy, stores carbon and regulates the emissions of greenhouse gases and it affects our climate
- Unprecedented pressures on soil from degradation and urbanisation, threatening above functions, agro-ecological balances and food security. Sustainable soil management is important as per Sustainable Development Goals (Goal 2 – Zero Hunger)
- India Total Land Area – 328 M Ha
- Soil Health card Scheme for India was conceptualized in 2015:
 - The government is planning to cover as many as all farmers under the scheme
 - The scheme will cover all the parts of the country
 - In the form of soil card, the farmers will get a report and this report will contain all the details about their particular farm
 - A farm will get the soil card once in every 3 years
- It will contain status of the soil with respect to 12 parameters: (N, P, K, S, Zn, Fe, Cu, Mn, B, pH, EC, OC)

Soil Mapping in India

Organization	Type of Survey	Scale	Area/Districts covered (M ha)
NBSS&LUP (National Bureau of Soil Survey and Land Use Planning)	Small scale soil mapping	1:2,50,000	300.5
	Soil resource mapping	1:50,000	198.4
	Detailed soil survey	1:4,000/15,000	8.48
	Detailed soil survey (Sujala III project)	Cadastral	11 districts
	Detailed soil survey (LRI flagship programme)	Cadastral	115 blocks (22 states)
SLUSI (State Land Use Survey of India)	Rapid reconnaissance survey for watershed prioritization	1:50,000	200
	Land degradation mapping	1:50,000	65 districts
	Detailed soil survey	1:4,000/15,000	13.5
	Soil resource mapping under NRIS (DOS)	1:50,000	89 districts
NRSC (National Remote Sensing Centre)	Waste land mapping	1:50,000	India
	Soil resource mapping under NRIS (DOS)	1:50,000	200

Literature Review



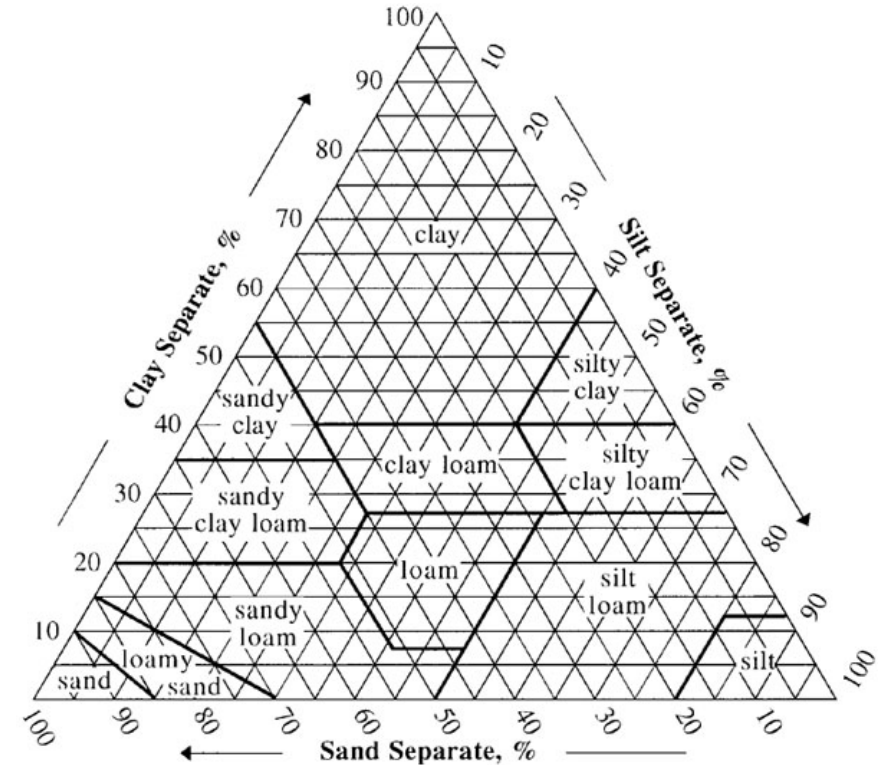
Source: Viscarra Rossel, et al (2016)

Literature Review

- Currently, new technologies are used to produce miniaturised, rugged and economical hand-held instruments
- Adoption of VNIR and MIR in laboratories is taking traction
- The black disc in 2008 represents the conception of the global soil spectroscopy project
- Characterize soil and its variability
- Deriving a spectral classification to describe the associations between spectra, soil, land cover and geography
- Usefulness of the global database for predicting soil attributes, such as soil organic and inorganic carbon, clay, silt, sand and iron contents, cation exchange capacity, pH and many other properties.
- DSM (Digital Soil Mapping) efforts on large scale:
 - OzDSM, Australia– 2008
 - Global Soil Map, DSM, UN – 2007
 - DSM, Europe – 2010
 - ISRIC, World Soil Information – 2005
 - India, NBSS&LUP – 2018

Soil Texture

- Soil texture data are utilised in following studies (Agriculture, Water Resources, Landscape Management):
 - Crop suitability
 - Crop yield and growth pattern
 - Precision Agriculture
 - Surface runoff modelling
 - Soil erosion modelling
 - Soil moisture patterns
 - Slope-stability analysis
 - Disaster mitigation and management
 - Landscape management
 - Belowground C, N storages

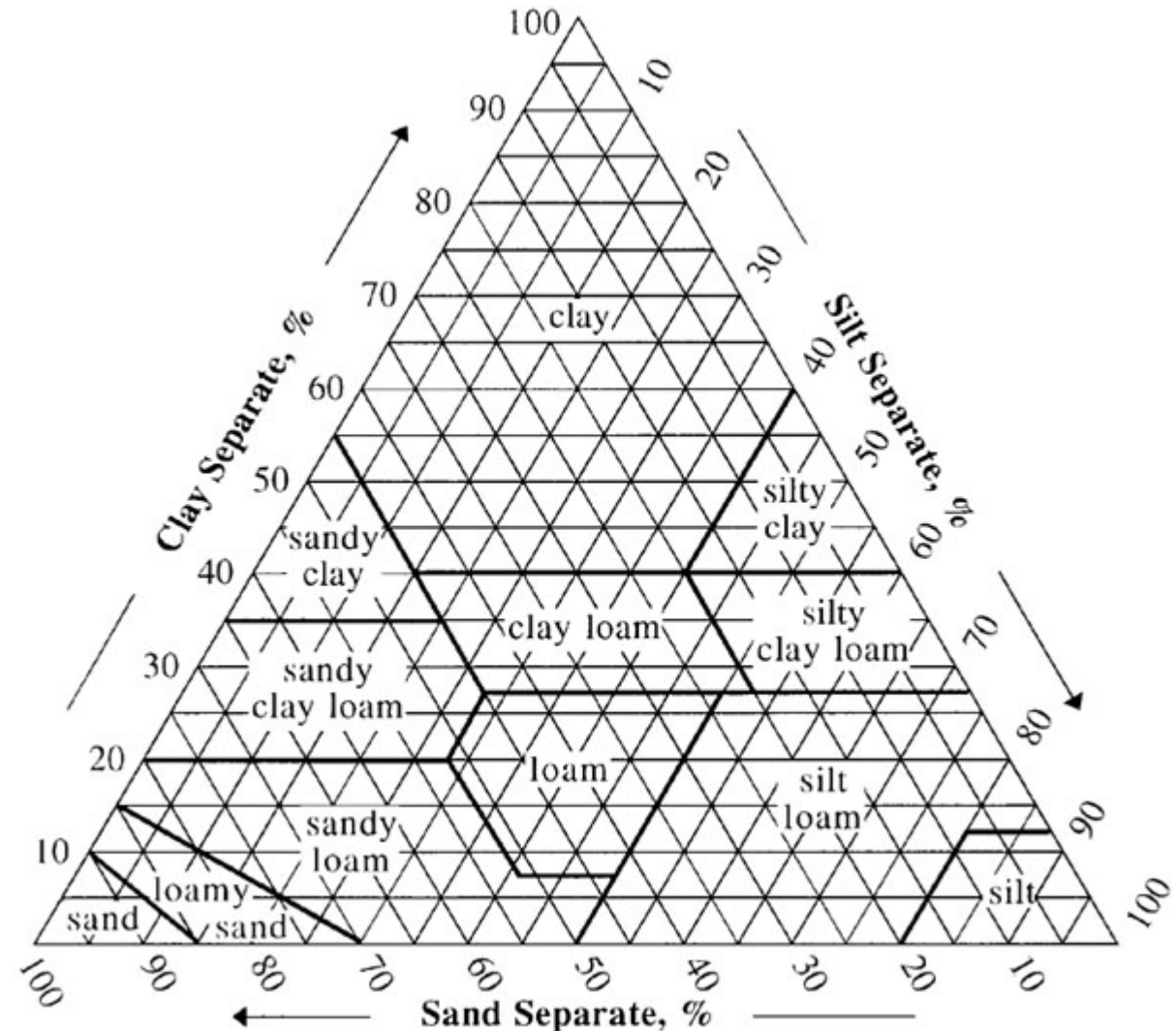


- DSM (Digital Soil Mapping) provides topsoil properties. No depth information/profile.

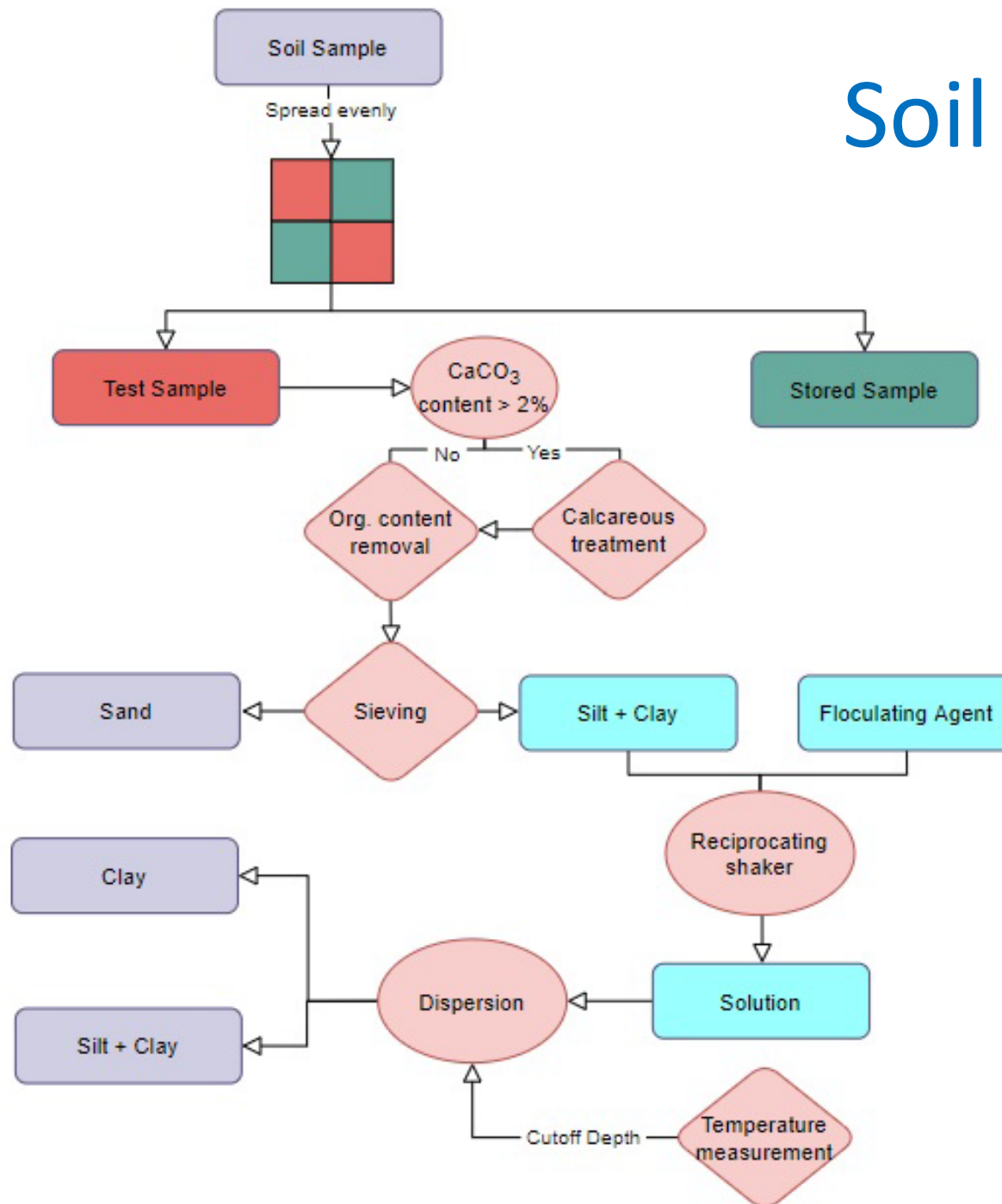
Soil Texture Classification

Name of soil separate	USDA Diameter Limits (mm)	WRB Diameter Limits (mm)
Clay	< 0.002	< 0.002
Silt	0.002 – 0.05	0.002 – 0.063
Very fine sand	0.05 – 0.10	0.063 – 0.125
Fine sand	0.10 – 0.25	0.125 – 0.20
Medium sand	0.25 – 0.50	0.20 – 0.63
Coarse sand	0.50 – 1.00	0.63 – 1.25
Very coarse sand	1.00 – 2.00	1.25 – 2.00
Coarse	> 2.00	> 2.00

USDA – United States Department of Agriculture
 WRB – World Reference Base for Soil

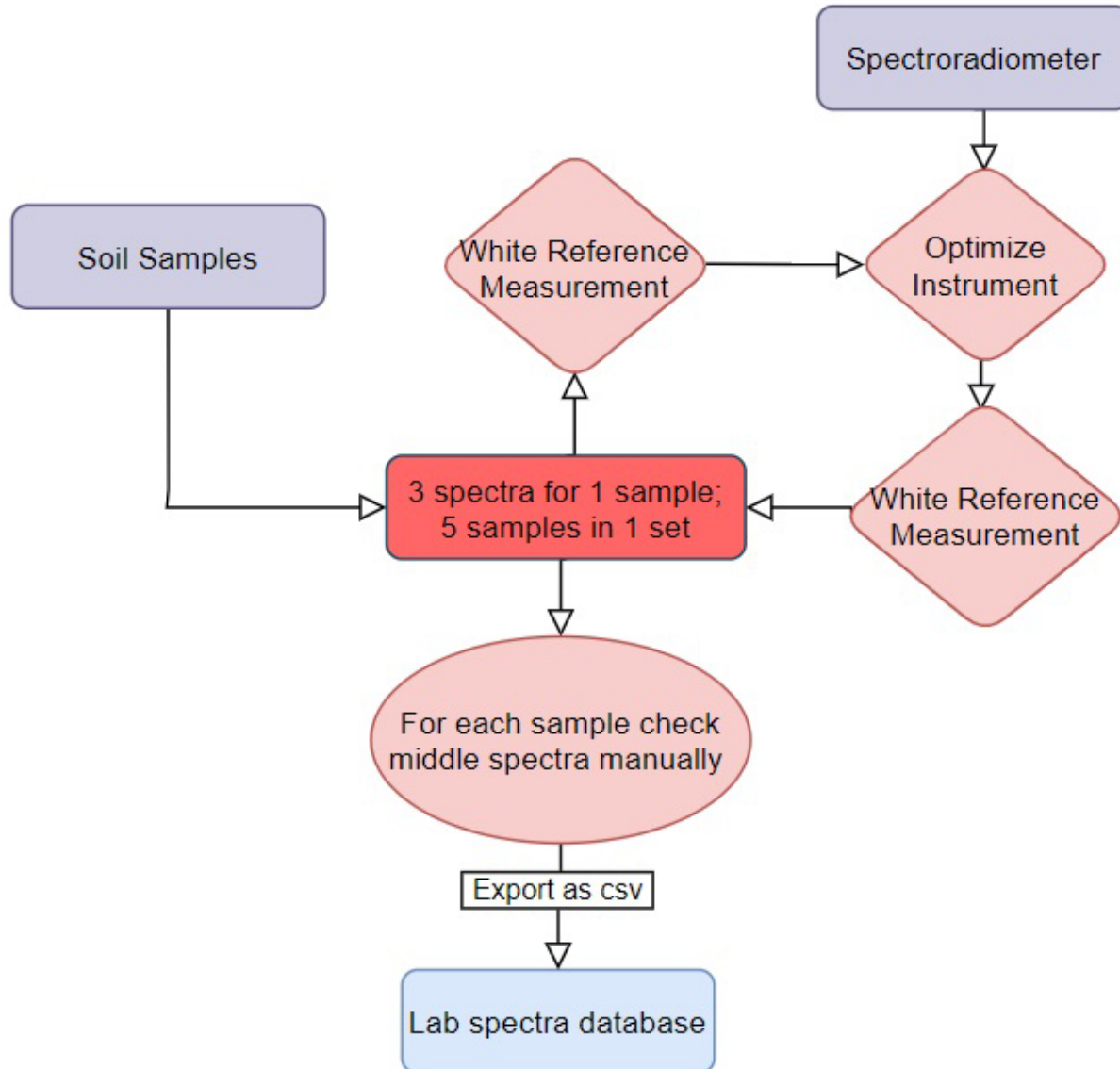


Soil Texture Measurement



- Particle size distribution using International Pipette method / Robinson's pipette method
- Test sample is dried, tested for calcium carbonate and treated for calcareous content and organic content removal
- Sand is separated using sieving
- Clay is separated using dispersion.
- Silt content is calculated as:
$$\text{Silt \%} = 100 - (\text{Sand \%} + \text{Clay \%})$$
- Time taken: ~3-5 days

Lab Spectra Measurement



Materials:

- 1 Spectroradiometer
- 1 White reference panel, 5x5'
- 2-4 Tungsten Halogen Lamp

Set up:

- Instrument warm up time – 60 mins
- Lamps warm up time – 20 mins
- No. of spectra per target – 30
- Dark current average of scans – 50
- White reference number of scans – 50

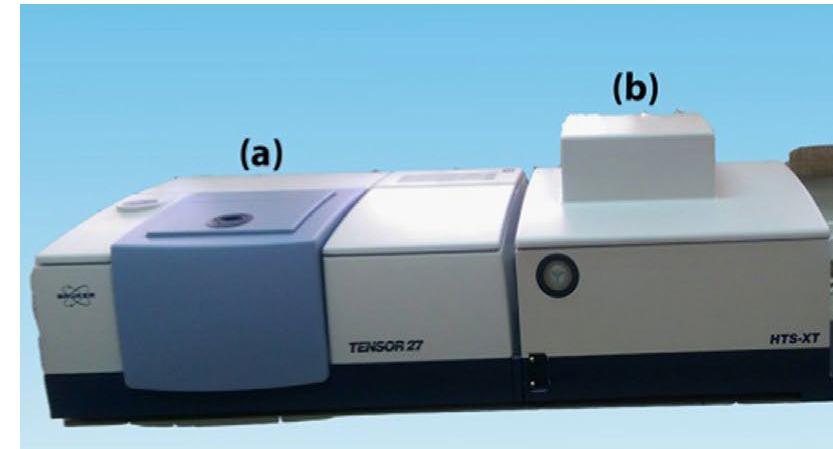
Lab Spectra Measurement



ASD Field Spec VNIR with optical setup

VNIR setup: ASD Spectroradiometer and optical accessory for diffuse reflectance measurement

Source: ICRAF-ISRIC, 2019, Vagen et al., 2020



Bruker MIR FT-IR (a) with HTS-XT setup (b)



Aluminium plate filled with soil samples

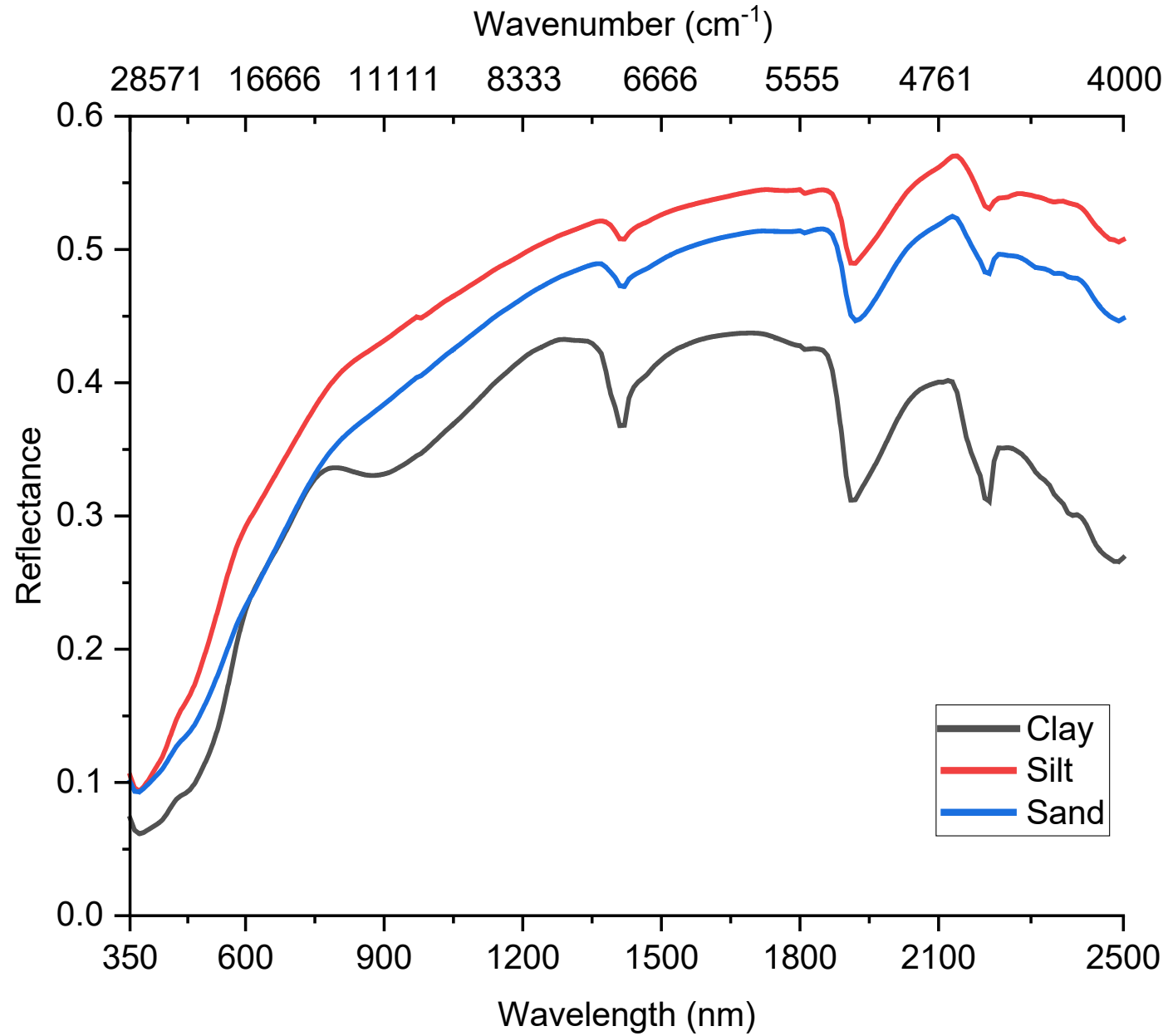
MIR setup: Bruker MIR FT-IR with HTS-XT for diffuse reflectance measurement

Physiochemical and Spectroscopy dataset

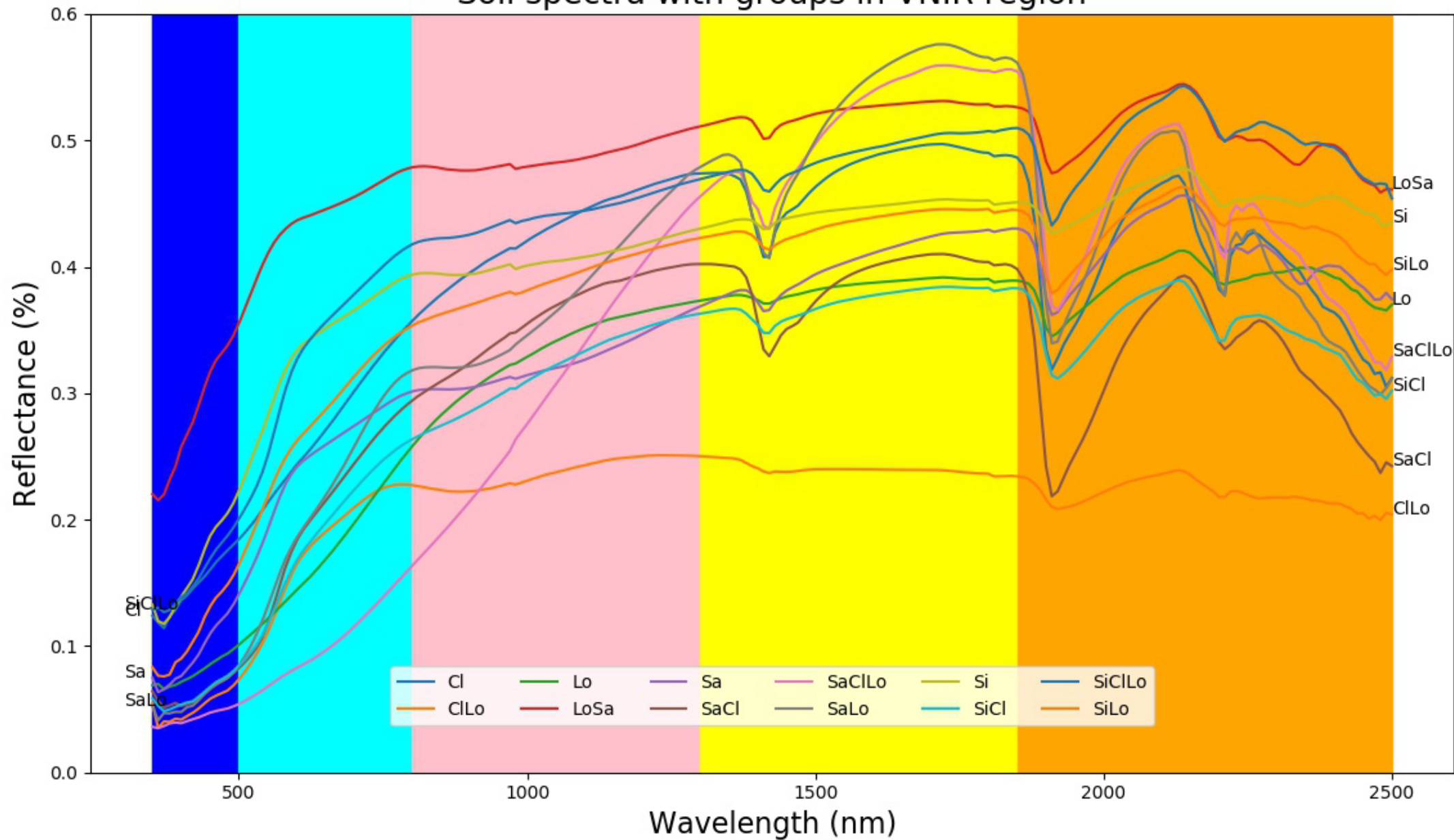
- ICRAF-ISRIC Soil Spectral Library
 - 4438 soil samples from 754 profiles totally in 58 countries
 - Soil texture by International pipette method in different labs and time
 - After preprocessing 3643 samples were used
- VNIR spectra
 - 204 bands (@10 nm) in 410-2440 nm
 - ASD field spec at ICRAF plant lab
- MIR spectra
 - 1762 bands (@ 4 cm^{-1}) in 2441-14286 nm
 - Bruker FTIR at ICRAF plant lab
- VNIR+MIR spectra
 - 1966 bands in 410-14286 nm

Source: Garrity & Bindraban, 2004; Shepherd & Walsh, 2007

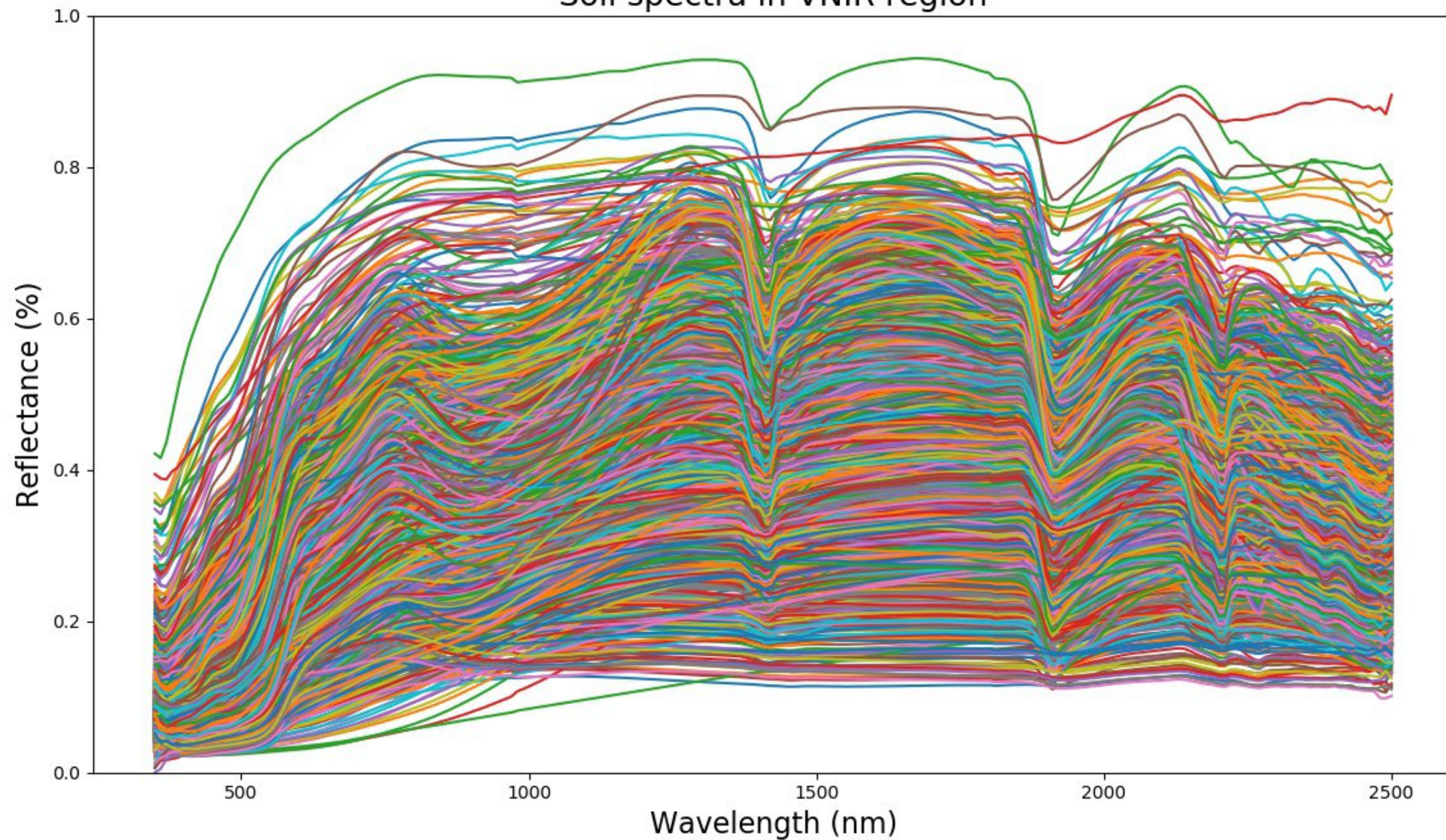
Soil spectra in VNIR region



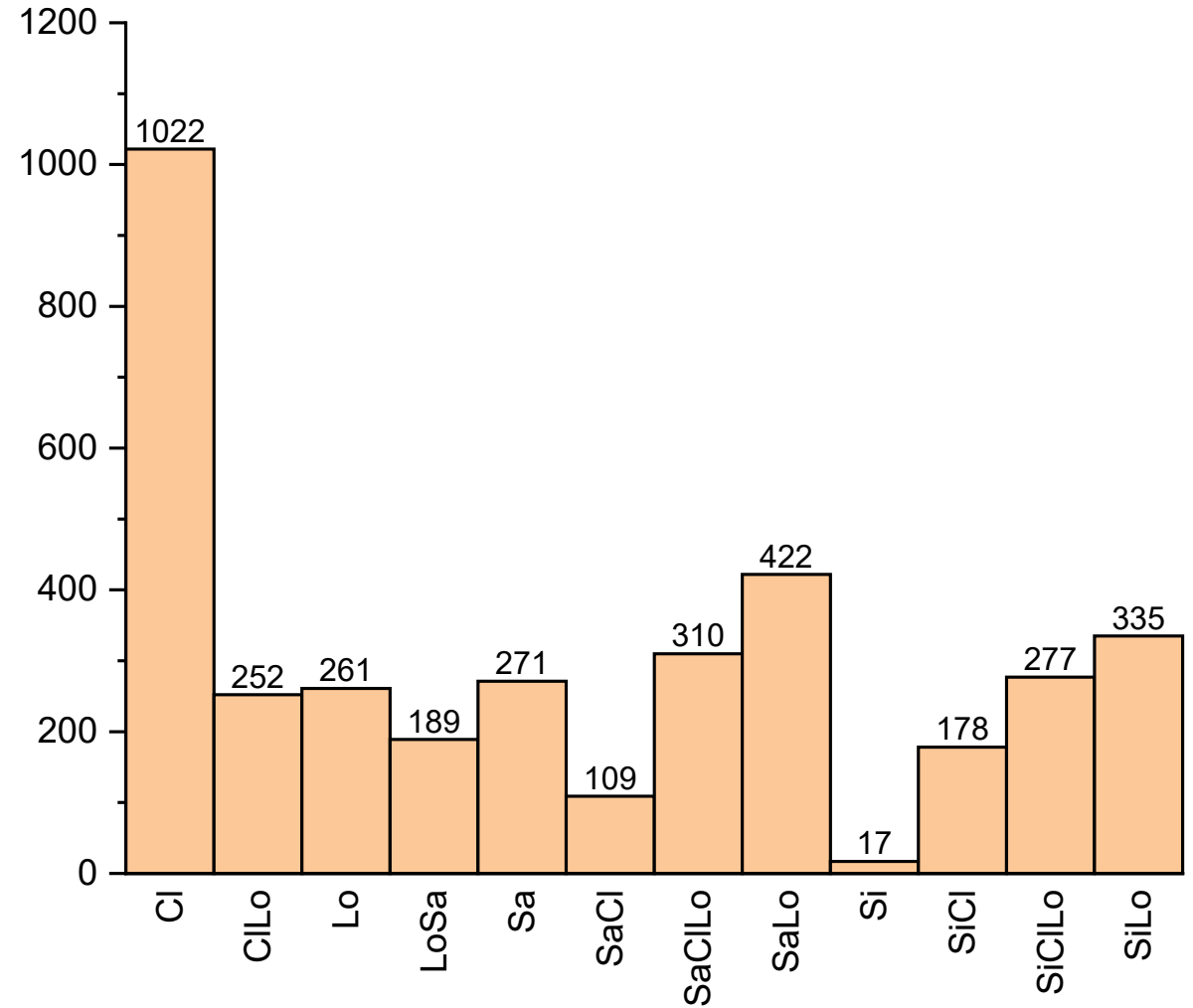
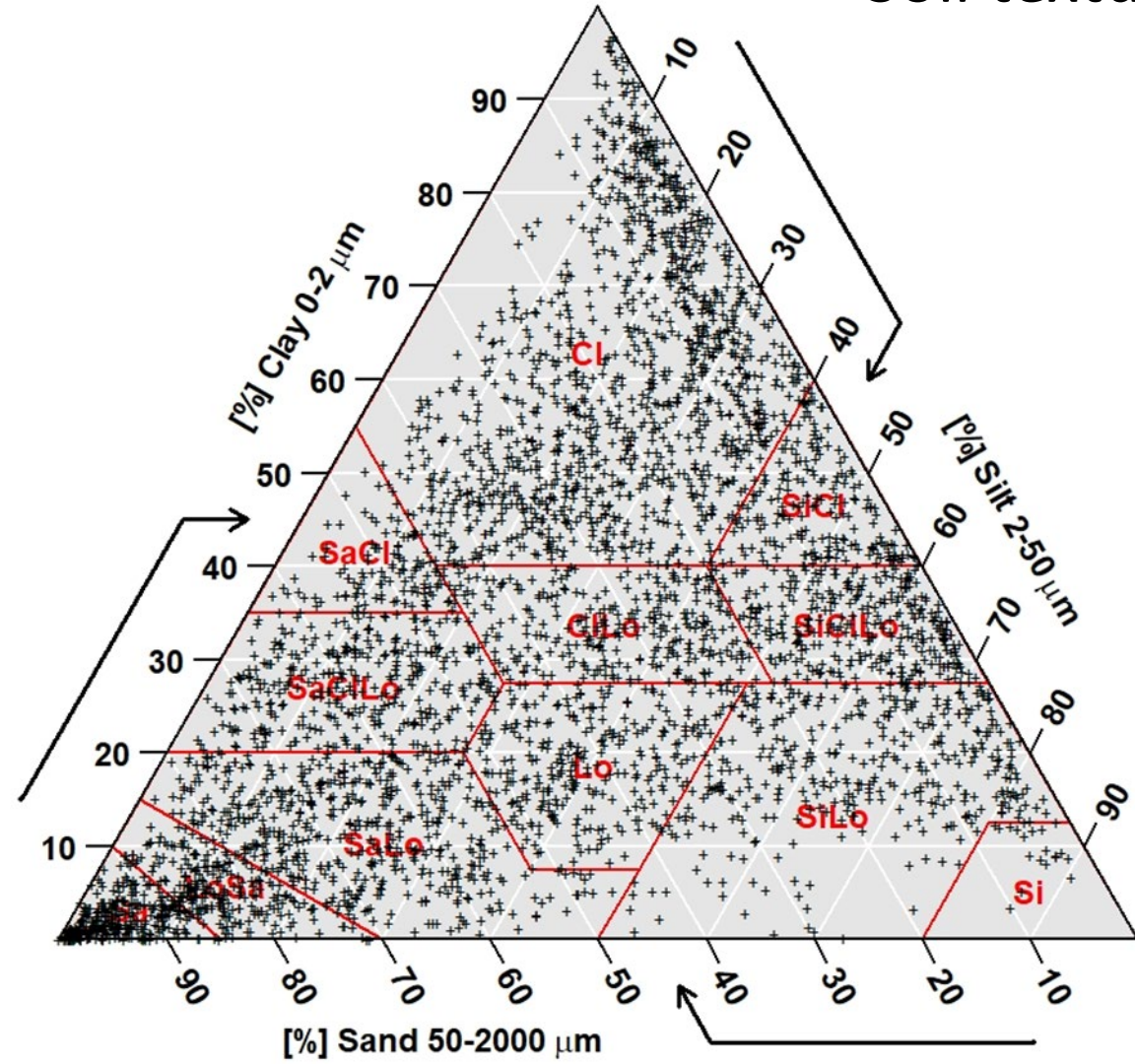
Soil spectra with groups in VNIR region



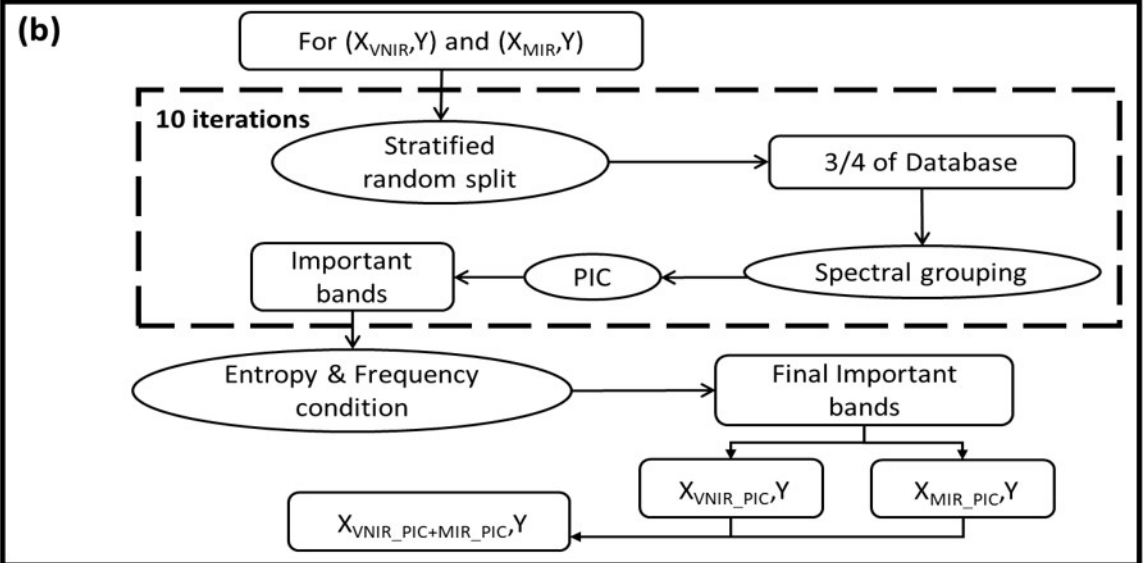
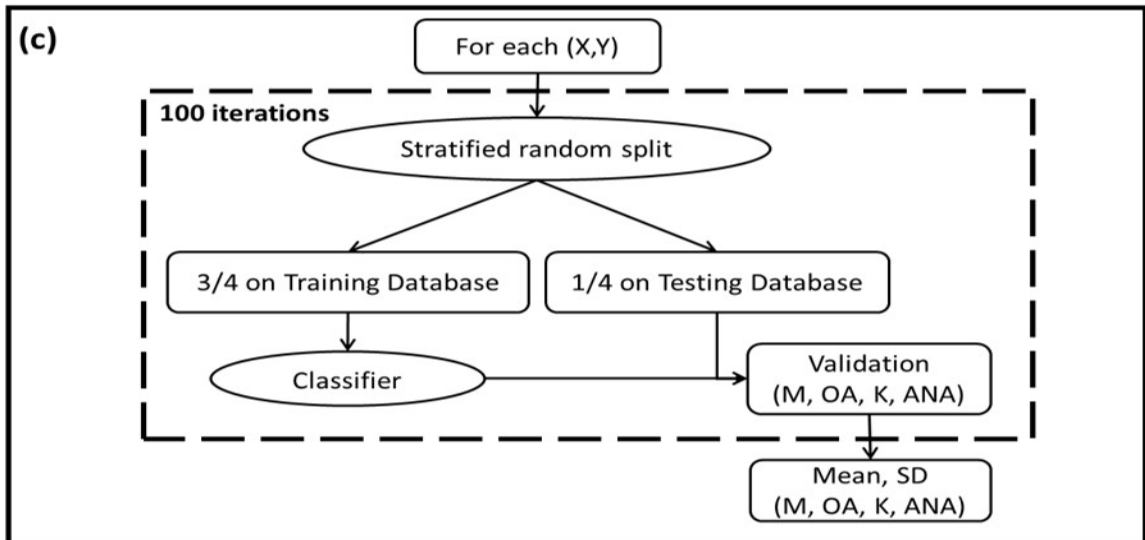
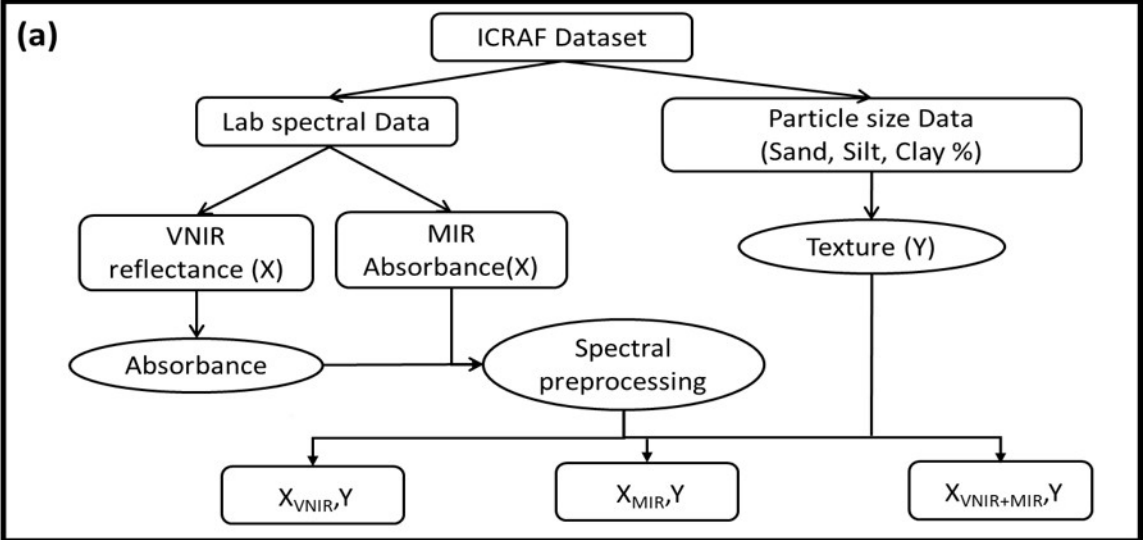
Soil spectra in VNIR region



Soil texture distribution



Methodology



- a) Dataset preprocessing
- b) PIC based band selection
- c) Classification routine

Classifiers - MNL, SVM
 Evaluation - Confusion Matrices,
 Overall Accuracy,
 Kappa,
 Added Neighbourhood Accuracy

Evaluation (OA,K)

VNIR (MNLN)		Measured Classes (%)											
Predicted Classes (%)	Texture	Sa	LoSa	SaLo	SaClLo	SaCl	Cl	ClLo	Lo	SiCl	SiClLo	SiLo	Si
	Sa	50	23	14	0	0	0	3	12	5	1	7	0
	LoSa	4	8	1	0	0	0	0	0	0	0	0	0
	SaLo	18	38	37	12	0	2	13	20	7	10	8	0
	SaClLo	3	6	9	17	7	1	3	0	0	0	0	0
	SaCl	0	0	0	0	0	0	0	0	0	0	0	0
	Cl	7	4	14	68	93	94	57	22	61	24	11	0
	ClLo	0	0	1	0	0	0	2	0	0	0	0	0
	Lo	1	4	3	0	0	0	2	5	0	1	2	0
	SiCl	0	0	0	0	0	0	0	0	0	0	0	0
	SiClLo	1	0	2	1	0	2	6	8	7	19	5	0
	SiLo	15	17	19	3	0	1	14	34	20	44	67	100
Si	0	0	0	0	0	0	0	0	0	0	0	0	

$$OA = \frac{\sum_{i=1}^{Nc} M_{i,i}}{\sum_{i,j=1}^{Nc} M_{i,j}} = \frac{l}{s}$$

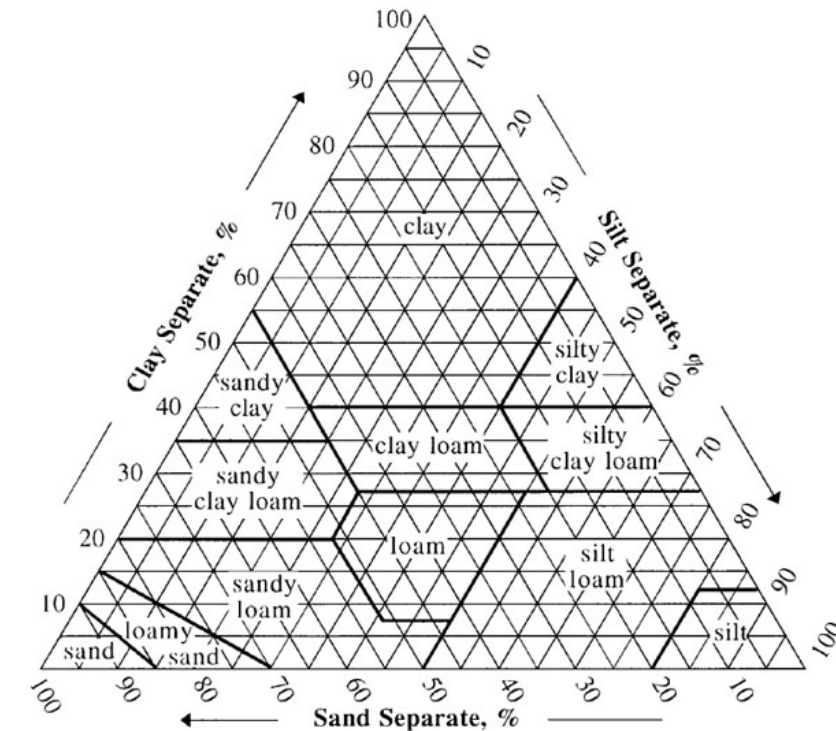
$$K = \frac{l * s - \sum_{j=1}^{Nc} (\sum_{i=1}^{Nc} M_{i,j} * \sum_{i=1}^{Nc} M_{j,i})}{s^2 - \sum_{j=1}^{Nc} (\sum_{i=1}^{Nc} M_{i,j} * \sum_{i=1}^{Nc} M_{j,i})}$$

Source: Cohen, (1960); Congalton, (2001)

Evaluation (NA, ANA)

Table of USDA texture classes and their corresponding neighbours derived from USDA texture triangle

Texture Class	% Area covered in Texture triangle	Texture Class abbreviation	No. of Neighbours	Neighbours
Sand	1.50	Sa	1	LoSa
Loamy Sand	3.00	LoSa	2	Sa, SaLo
Sandy Loam	11.45	SaLo	4	LoSa, SaClLo, Lo, SiLo
Sandy Clay Loam	7.65	SaClLo	4	SaLo, SaCl, ClLo, Cl
Sandy Clay	4.00	SaCl	3	SaClLo, ClLo, Cl
Clay	29.75	Cl	4	SaCl, ClLo, SiClLo, SiCl
Clay Loam	6.25	ClLo	7	Lo, SaClLo, SaCl, Cl, SiCl, SiClLo, SiLo
Loam	7.45	Lo	4	SaLo, SaClLo, ClLo, SiLo
Silty Clay	4.00	SiCl	3	Cl, ClLo, SiClLo
Silty Clay Loam	5.00	SiClLo	4	SiCl, Cl, ClLo, SiLo
Silt Loam	16.50	SiLo	5	Si, SaLo, Lo, ClLo, SiClLo
Silt	3.45	Si	1	SiLo

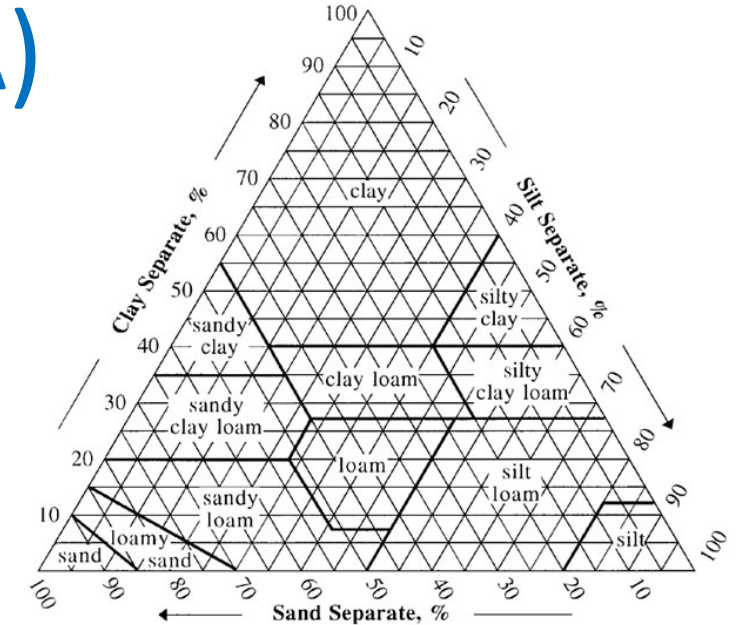


Evaluation (NA, ANA)

Neighbour Classes Matrix (N) derived from the USDA texture triangle

N =

Sa	LoSa	SaLo	SaClLo	SaCl	Cl	ClLo	Lo	SiCl	SiClLo	SiLo	Si	Classes
0	1	0	0	0	0	0	0	0	0	0	0	Sa
1	0	1	0	0	0	0	0	0	0	0	0	LoSa
0	1	0	1	0	0	0	1	0	0	1	0	SaLo
0	0	1	0	1	0	1	1	0	0	0	0	SaClLo
0	0	0	1	0	1	1	0	0	0	0	0	SaCl
0	0	0	0	1	0	1	0	1	1	0	0	Cl
0	0	0	1	1	1	0	1	1	1	1	0	ClLo
0	0	1	1	0	0	1	0	0	0	1	0	Lo
0	0	0	0	0	1	1	0	0	1	0	0	SiCl
0	0	0	0	0	1	1	0	1	0	1	0	SiClLo
0	0	1	0	0	0	1	1	0	1	0	1	SiLo
0	0	0	0	0	0	0	0	0	0	1	0	Si



Texture Class	% Area covered in Texture triangle	Texture Class abbreviation	No. of Neighbours	Neighbours
Sand	1.50	Sa	1	LoSa
Loamy Sand	3.00	LoSa	2	Sa, SaLo
Sandy Loam	11.45	SaLo	4	LoSa, SaClLo, Lo, SiLo
Sandy Clay Loam	7.65	SaClLo	4	SaLo, SaCl, ClLo, Cl
Sandy Clay	4.00	SaCl	3	SaClLo, ClLo, Cl
Clay	29.75	Cl	4	SaCl, ClLo, SiClLo, SiCl
Clay Loam	6.25	ClLo	7	Lo, SaClLo, SaCl, Cl, SiCl, SiClLo, SiLo
Loam	7.45	Lo	4	SaLo, SaClLo, ClLo, SiLo
Silty Clay	4.00	SiCl	3	Cl, ClLo, SiClLo
Silty Clay Loam	5.00	SiClLo	4	SiCl, Cl, ClLo, SiLo
Silt Loam	16.50	SiLo	5	Si, SaLo, Lo, ClLo, SiClLo
Silt	3.45	Si	1	SiLo

$$NA = \frac{\sum_{i,j=1}^{Nc} (M * N)_{i,j}}{\sum_{i,j=1}^{Nc} M_{i,j}} \quad ANA = OA + NA = \frac{\sum_{i=1}^{Nc} M_{i,i}}{\sum_{i,j=1}^{Nc} M_{i,j}} + \frac{\sum_{i,j=1}^{Nc} (M * N)_{i,j}}{\sum_{i,j=1}^{Nc} M_{i,j}}$$

Adapted from Brown et al., (2006)

Evaluation (NA, ANA)

All Bands				
Region (Best Classifier)	Texture class	% in Correct Classes	% in Neighbour Classes	% in Far Classes
VNIR (MNLN)	Sa	50	4	46
	LoSa	8	61	31
	SaLo	37	32	31
	SaCilo	17	12	71
	SaCl	0	100	0
	Cl	94	2	4
	ClLo	2	82	16
	Lo	5	54	41
	SiCl	0	68	32
	SiCilo	19	68	13
SiLo	67	15	18	
Si	0	100	0	

Percentage Distribution of the classifications for a given texture class into correct class, neighbouring classes and far classes in the testing database using all bands in VNIR region

VNIR (MNLN)		Measured Classes (%)											
Predicted Classes (%)	Texture	Sa	LoSa	SaLo	SaCilo	SaCl	Cl	ClLo	Lo	SiCl	SiCilo	SiLo	Si
	Sa	50	23	14	0	0	0	3	12	5	1	7	0
	LoSa	4	8	1	0	0	0	0	0	0	0	0	0
	SaLo	18	38	37	12	0	2	13	20	7	10	8	0
	SaCilo	3	6	9	17	7	1	3	0	0	0	0	0
	SaCl	0	0	0	0	0	0	0	0	0	0	0	0
	Cl	7	4	14	68	93	94	57	22	61	24	11	0
	ClLo	0	0	1	0	0	0	2	0	0	0	0	0
	Lo	1	4	3	0	0	0	2	5	0	1	2	0
	SiCl	0	0	0	0	0	0	0	0	0	0	0	0
	SiCilo	1	0	2	1	0	2	6	8	7	19	5	0
	SiLo	15	17	19	3	0	1	14	34	20	44	67	100
Si	0	0	0	0	0	0	0	0	0	0	0	0	

Confusion matrix

		Sa	LoSa	SaLo	SaCilo	SaCl	Cl	ClLo	Lo	SiCl	SiCilo	SiLo	Si	Classes
N =	0	1	0	0	0	0	0	0	0	0	0	0	0	Sa
	1	0	1	0	0	0	0	0	0	0	0	0	0	LoSa
	0	1	0	1	0	0	0	1	0	0	1	0	0	SaLo
	0	0	1	0	1	0	1	1	0	0	0	0	0	SaCilo
	0	0	0	1	0	1	1	0	0	0	0	0	0	SaCl
	0	0	0	0	1	0	1	0	1	1	0	0	0	Cl
	0	0	0	1	1	1	0	1	1	1	1	0	0	ClLo
	0	0	1	1	0	0	1	0	0	0	1	0	0	Lo
	0	0	0	0	0	1	1	0	0	1	0	0	0	SiCl
	0	0	0	0	0	1	1	0	1	0	1	0	0	SiCilo
	0	0	1	0	0	0	1	1	0	1	0	1	0	SiLo
	0	0	0	0	0	0	0	0	0	0	1	0	0	Si

Neighbouring class matrix

Results – All bands

- Which region is better? VNIR, MIR or VNIR+MIR
- Which classifier is better? MNLR or SVM

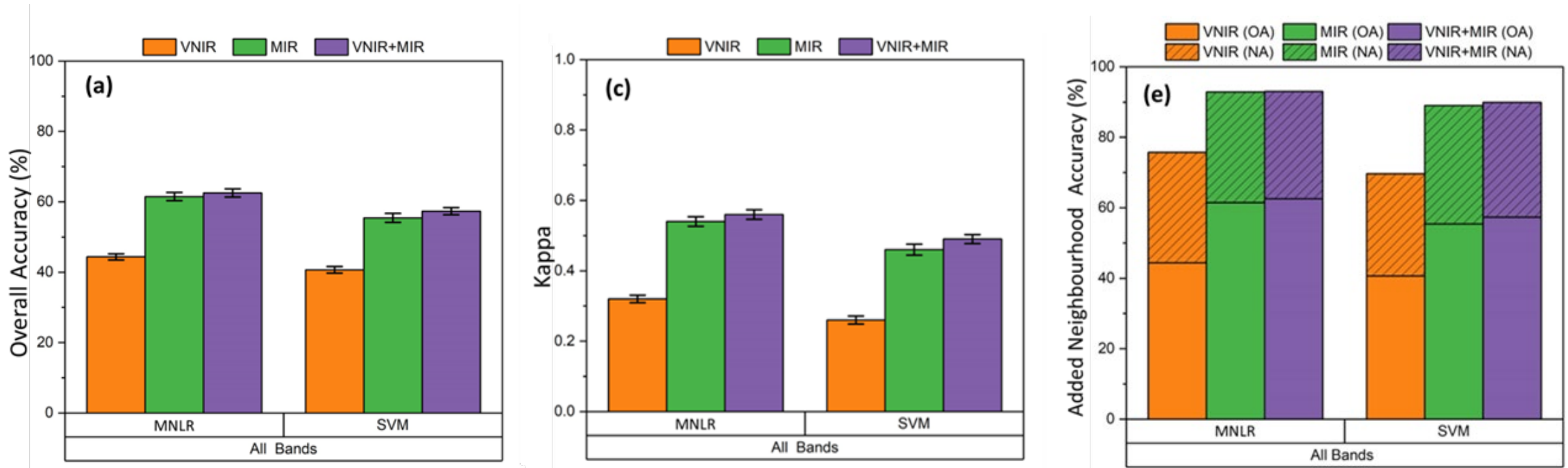
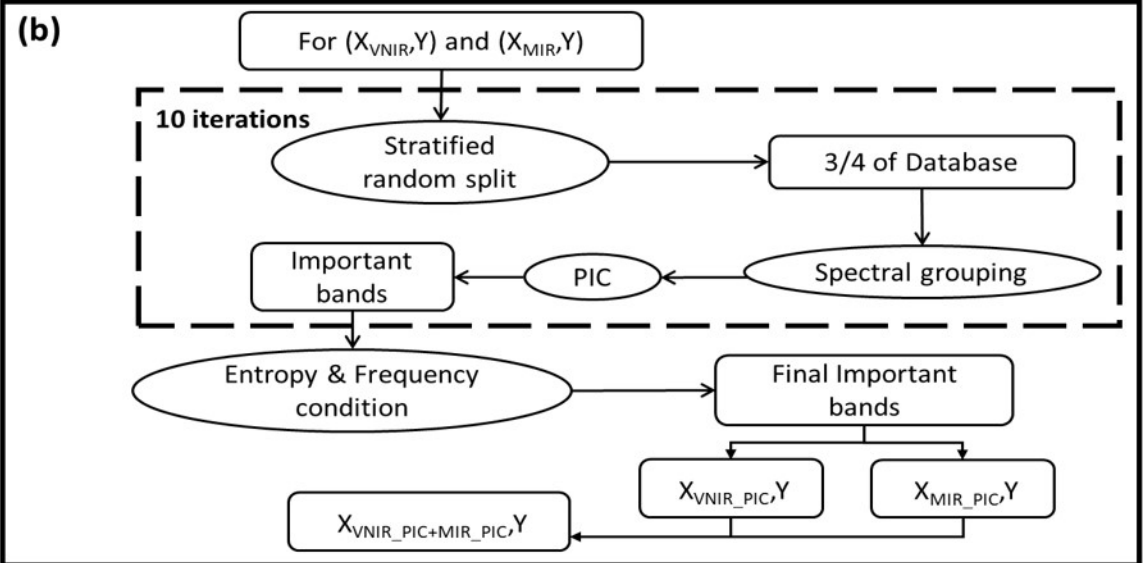
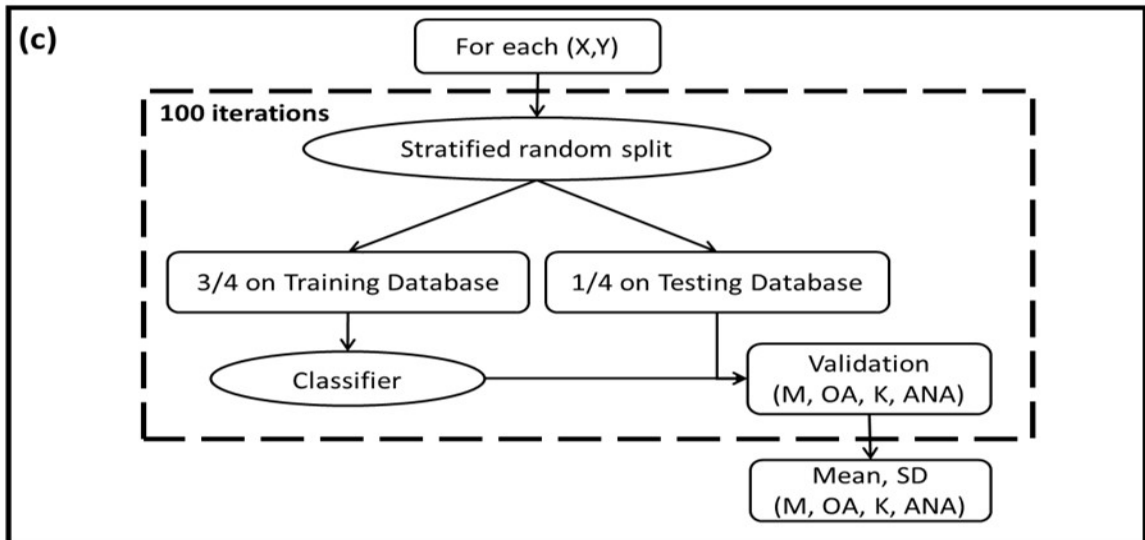
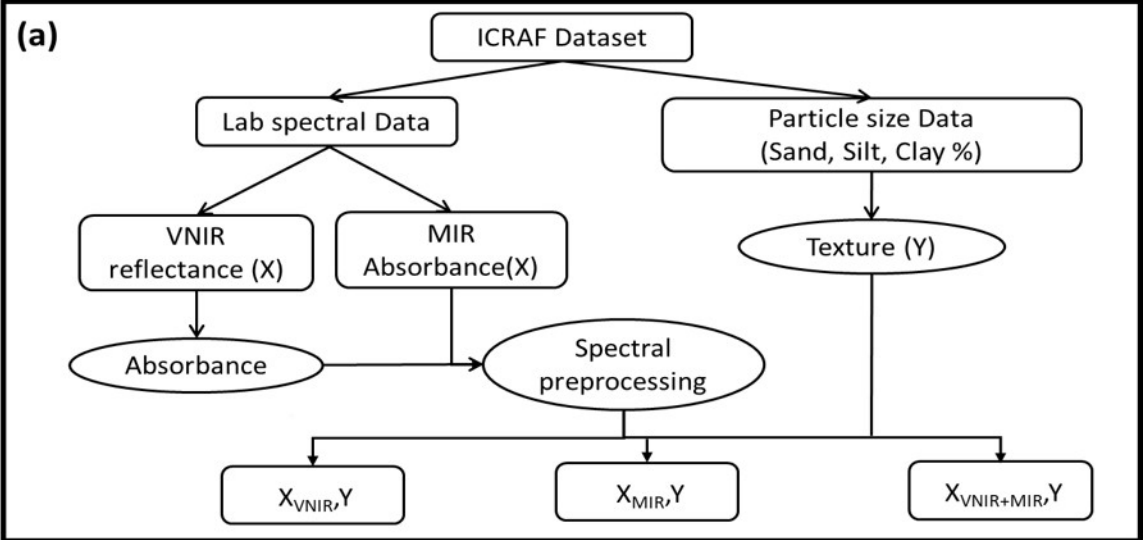


Figure The texture classification on the testing database for MNLR and SVM classifiers indicating :
(a) Overall Accuracy (%), (c) Kappa and (e) Added Neighbourhood Accuracy (%) using all bands

Results – Key points

- VNIR+MIR regions classified with mean OA 62.53 %, mean Kappa of 0.56 and mean ANA of 93.05 %
- Top 3 easily classified textures – Clay, Silt Loam, and Sand
- Top 3 difficult to classify textures – Silt, Sandy Clay, and Silty Clay
- No spectral region or classifier classified – Silt
- Difficult to classify textures – Silt, Sandy Clay, and Silty Clay; were majorly misclassified into neighbour classes
- Extensive far class misclassification ($\geq 30\%$) in 6 texture classes in VNIR and none in MIR, VNIR+MIR regions
- MNLr outperformed SVM in all regions

Methodology



- a) Dataset preprocessing
- b) PIC based band selection
- c) Classification routine

Classifiers - MNL, SVM
 Evaluation - Confusion Matrices, Overall Accuracy, Kappa, Added Neighbourhood Accuracy

Band Selection

- Partial Information (PI) measures the partial dependence and selects predictor variables depending on the response variable.
- PI can identify the predictor variables without making any assumptions about its form or model representation.
- A sample estimate of $PI(R, P|Z)$ (i.e., partial dependence of response variable R with a potential predictor P conditional to the preselected predictor set Z) is calculated as:

$$\widehat{PI}(R, P|Z) = \frac{1}{n} \sum_{i=1}^{i=n} \log \left[\frac{f_{R|Z,P|Z}(r_i, p_i|Z_i)}{f_{R|Z}(r_i|Z_i) * f_{P|Z}(p_i|Z_i)} \right]$$

$f_{R|Z}(r_i|Z_i)$, $f_{P|Z}(p_i|Z_i)$ marginal probability density function of R and P conditional on Z respectively

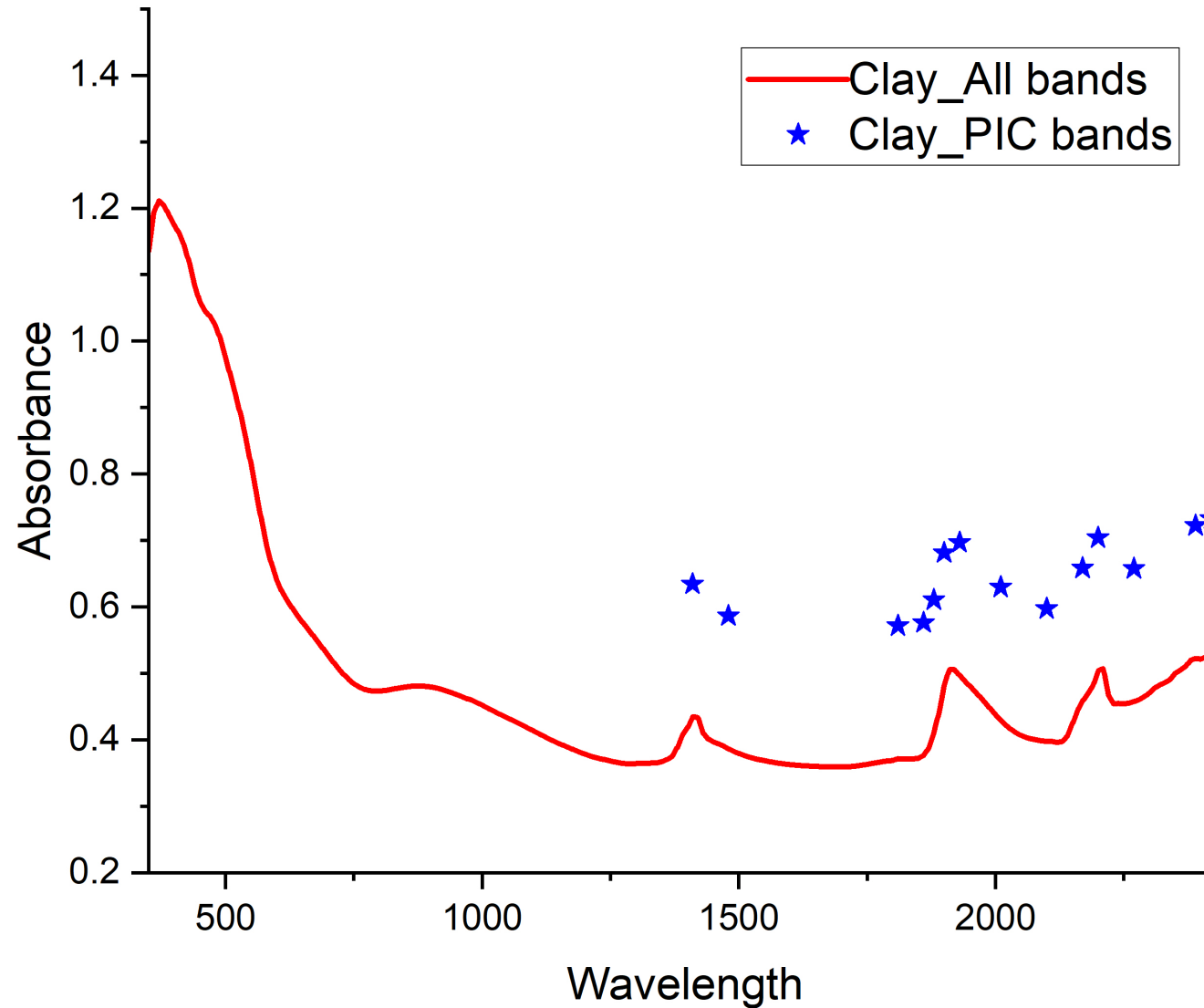
$r_i, p_i, Z_i; i = 1, \dots, n$, are sample observations of R, P and Z respectively

- The PIC is derived from PI by scaling it to a (0,1) as:

$$\widehat{PIC} = \sqrt{1 - \exp(-2\widehat{PI})}$$

- 20 spectral groups were used with entropy calculation by equal width discretization

Band Selection



Clay absorbance spectra with all bands and PIC selected bands in VNIR region (separated by factor of 0.2 for illustration)

Results – PIC selected bands

- Which region is better? VNIR, MIR or VNIR+MIR
- Which classifier is better? MNLr or SVM
- Does reduced band help in classification?

No. of bands	VNIR	MIR	VNIR+MIR
All bands	204	1762	1966
PIC selected bands	15	29	44

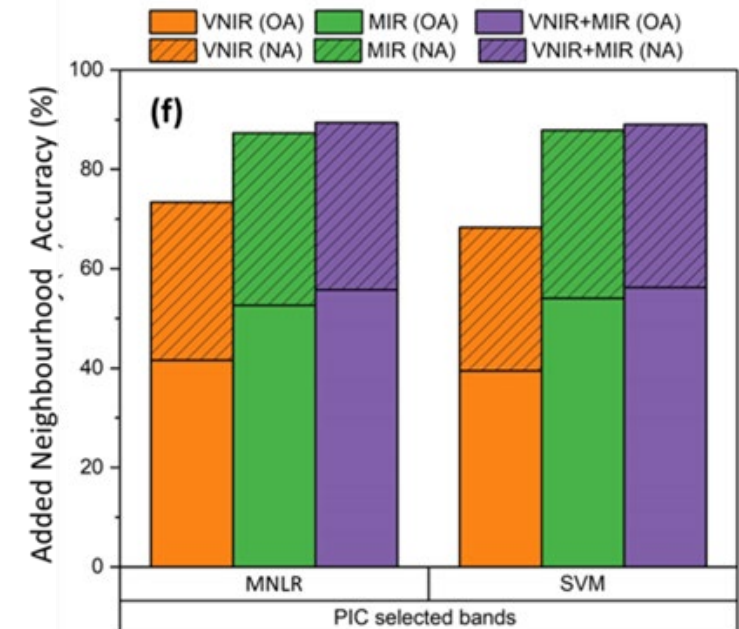
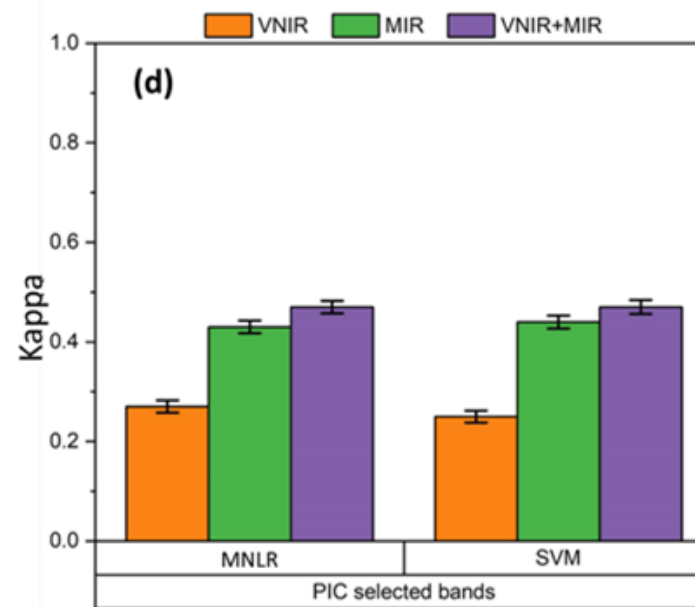
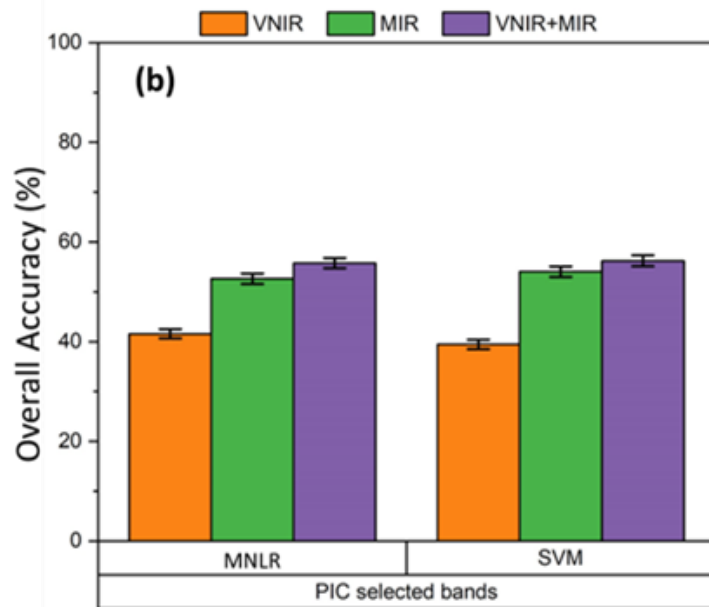
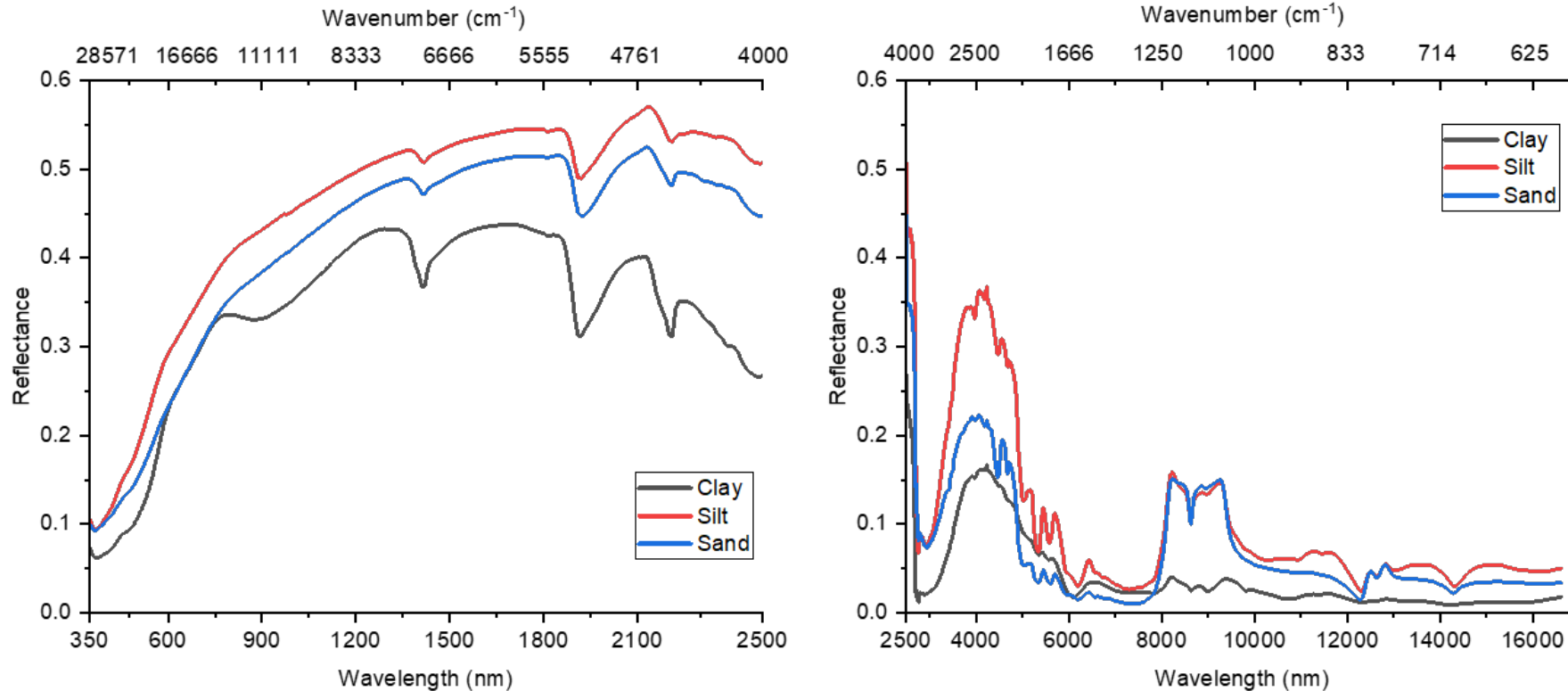


Figure The texture classification on the testing database for MNLr and SVM classifiers indicating :
 (b) Overall Accuracy (%), (d) Kappa and (f) Added Neighbourhood Accuracy (%) using PIC selected bands

Results – Important spectral features of soil



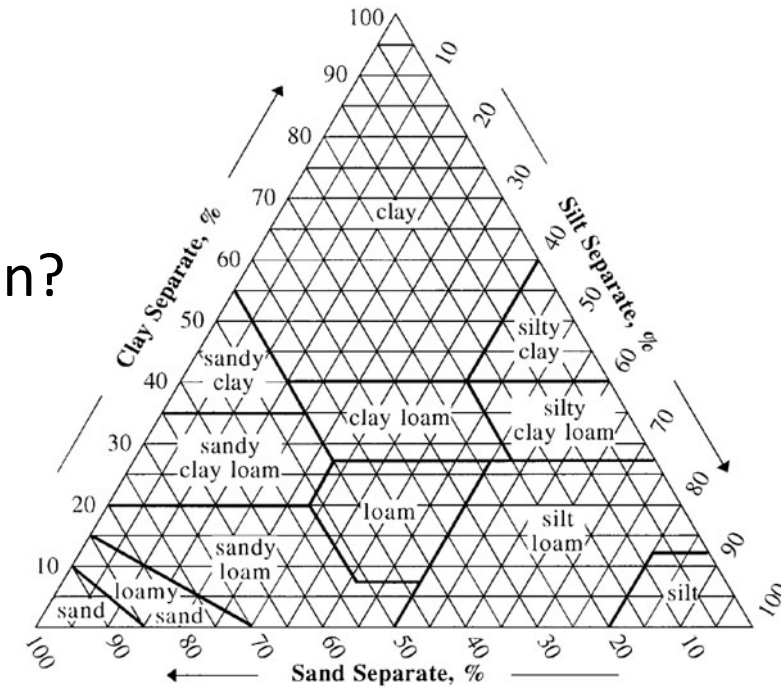
- Single bond stretching of O-H from free water and clay lattice in 400 – 2440 nm
- Single bond stretching mainly from C-H, O-H of water and clay lattice in 2441 – 4000 nm
- Triple bond stretching of $C\equiv C$, $C\equiv N$ in 4001 – 5000 nm
- Double bond stretching of $C=O$ and features of quartz in 5001 – 6666 nm
- Fingerprint region with feature of silicates and quartz in 6667 – 14286 nm

Results – Key points

- VNIR+MIR_PIC classified with mean OA 56.23 %, mean Kappa of 0.47 and mean ANA of 89.08 %
- Top 3 easily classified textures – Clay, Sand and Sandy Loam
- Top 3 difficult to classify textures – Silt, Sandy Clay, and Silty Clay
- No spectral region or classifier classified – Silt, Sandy Clay
- Difficult to classify textures – Silt, Sandy Clay, and Silty Clay; were majorly misclassified into neighbour classes
- Extensive far class misclassification ($\geq 30\%$) in 4 texture classes in VNIR and in 2 texture classes in MIR, VNIR+MIR regions
- SVM outperformed MNLr in MIR and VNIR+MIR regions

Discussions

- Which region is better? Do reduced bands help classification?
 - MIR (more fundamentals); Yes (suitable chromophores)
- Which soil texture class is suitably classified?
 - Clay, Sand (Clay minerals, spectrally active)
- Is misclassification more in neighbouring classes than far classes?
 - Yes (~30%)
- Why certain texture classes perform poor in classification?
 - Silt (Measurement error, methodology, areal representation, decision rules, low no. in training)



Comparison with literature

Reference	Data Used Method	Total (Train Test)	No. of Classes	Class type	Testing Accuracy \pm Std. Dev (%)
Barnes, 2000	Landsat 5 ISODATA	303(NA)	3	Sandy Loam, Sandy Clay Loam, Clay Loam	51
Zhai, 2006	Landsat 5 NN	443(354 89)	3	Loam, Clay Loam, Clay	65.7 \pm 1.8
DeMatte, 2016	Landsat 5 GMLC	504(300 204)	4	Sand, Sandy Loam, Clay Loam, Clay	63.8
Gomez, 2019	Sentinel 2 Lin-SVM	130(91 39)	4	Sandy Loam, Sandy Clay Loam, Sandy Clay, Clay	50
Mouazen, 2005	Lab Spectra FDA	365(244 121)	3 [†]	Sand, Loam, Clay	85.1
Jia, 2019	Lab Spectra RBF-SVM	198(132 66)	4 [‡]	Clay, Clay Loam, Loam, Sand	78.8
Gouda, 2021	Lab Spectra LUCAS LightGBM	14454(12087 2367)	3 [*]	Fine, Medium, Coarse	75
Gouda, 2021	Lab Spectra ICRAF LightGBM	2416(2021 395)	3 [*]	Fine, Medium, Coarse	75
This paper	Lab Spectra ICRAF MNLR	3643 (2737 906)	12	All 12 Classes	62.5 \pm 1.2

*Canadian soil texture classification; [†] Belgium soil texture classification; [‡] International Soil Society classification;

Conclusions

- Best classification performance using MNLr in combined VNIR+MIR region with OA 62.53%, K of 0.56 and ANA of 93.05%
- PIC bands provide slightly lower classification but huge reduction in the number of bands (>93% reduction in number of bands)
- MIR compared to VNIR region provides around 11 to 17% higher accuracy
- VNIR+MIR provide only slight improvement in accuracy over the MIR region
- A texture class is more misclassified into its Neighbouring classes than in far class. Allowing this misclassification, the overall accuracy increases by around 30%
- Remote textural classes i.e. Clay, Silt Loam, and Sand texture, have good classification performance as compared to intermediate textural classes i.e. Silt, Sandy Clay, and Silty Clay

Applications

- Quick qualitative inference on texture (~few hours)
- Improved quantitative predictions using qualitative predictions
 - Clay content, OM, OC, N, moisture content, hydraulic conductivity, erosion modelling, etc
- Reduced model complexities
- Quantifying uncertainties in neighbouring classes
 - Definition of neighbour in distance term needs to be studied
- Classification performances from the regression techniques for sand, silt, and clay fractions
- Simulation of lab spectra to upcoming satellite mission for evaluating soil texture classification either in quantitative or qualitative fashion

Working details

- Data available at (www.isric.org)
- Codes available at (https://github.com/ternikarcrcr/Texture_Classification.git)
- Codes in Python – sklearn package, R – NPRED package
- Graphs in Origin Pro

Memories

- SARI meeting in Philippines, 2018
- GEE, HPC – Global Landsat Mosaic
 - Don't be intimidated, Subject matter experts never go out of job
- Prof. Chirag Jain – Why the similarities and Why the differences?
 - All my discussion sections are structured in this manner
- Dr. Thuy Le Toan – Young researchers need to open source the data
 - No data over India

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Source: <https://www.agrocares.com>

THANK YOU